

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1251696-0

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XXXXXXXXXXXXXXXXXXXXXXXXXXXXX

Memorandum



To : SAC II

Date

From : SUFV. [REDACTED] (M-1)

b6
b7C

Subject :

On 7.2, Supv. M-1 authorized, in accordance with the Attorney General's Guidelines on Criminal Investigations of Individuals and Organizations, a (General Crimes) (Preliminary) (Racketeering Enterprise) investigation based upon:

(a) The following facts or circumstances, or

(b) the facts and circumstances contained in the attached documents.

See Serial II 747
DATES OF SUBSEQUENT REVIEW OF JURISDICTION:

DA 2/10/22

Amc 2/26/80

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 2/19/80

TO: ADIC, NEW YORK (29-105128) (M-2)
 FROM: SAC, CHICAGO (196-200) (P) (SQ. 7)
 MICHAEL RAYMOND, aka;
 FBW (A); ITSP
 OO: CHICAGO

Re Chicago airtel to New York, 1/8/80.

Referenced Chicago airtel requested New York to advise Chicago of the sentence Raymond received in the matter which was referred to in New York airtel to Chicago, dated July 6, 1979. New York was also requested to furnish up to date information regarding [REDACTED]

b7D
b7E

[REDACTED] To date no reply has been received by Chicago.

LEADS:NEW YORK DIVISIONAT NEW YORK, NEW YORK

Will cover lead requested in Chicago airtel to New York, January 8, 1980.

10 - New York
 1 - Chicago
 JSR/dkj
 (3)

1*

29-15128-669

SEARCHED INDEXED
 SERIALIZED FILED

FEB 25 1980

FBI-NEW YORK

Approved: JOE/gmTransmitted _____
(Number) (Time)

Per _____

STATISTICS LETTER

TO: DIRECTOR, FBI

Date: 3 / 7 / 80FROM: SAG ADIC, NEW YORK

29	85152	
Bureau File Number		
29	A *	15128
F.O. File Number		

SUBJECT:

ET AL

b6
b7C

A. PRELIMINARY JUDICIAL PROCESS (No. of Subjects) 1. <u> </u> Complaint 2. <u> 1 </u> Information 3. <u> 3 </u> True Bill 4. <u> </u> No Bill	D. ARRESTS / LOCATES / SUMMONS (No. of Subjects) <u>FUGITIVE PRIORITY</u> <table border="0"> <tr> <td><u> A </u></td> <td><u> B </u></td> <td><u> C </u></td> <td></td> </tr> <tr> <td>1. <u> </u></td> <td>2. <u> </u></td> <td>3. <u> </u></td> <td>- FBI Arrests</td> </tr> <tr> <td>4. <u> </u></td> <td>5. <u> </u></td> <td>6. <u> </u></td> <td>- FBI Locates</td> </tr> <tr> <td colspan="3">7. <u> </u> Criminal Summons</td> <td></td> </tr> </table>	<u> A </u>	<u> B </u>	<u> C </u>		1. <u> </u>	2. <u> </u>	3. <u> </u>	- FBI Arrests	4. <u> </u>	5. <u> </u>	6. <u> </u>	- FBI Locates	7. <u> </u> Criminal Summons			
<u> A </u>	<u> B </u>	<u> C </u>															
1. <u> </u>	2. <u> </u>	3. <u> </u>	- FBI Arrests														
4. <u> </u>	5. <u> </u>	6. <u> </u>	- FBI Locates														
7. <u> </u> Criminal Summons																	
B. FINAL JUDICIAL PROCESS (No. of Subjects) 1. <u> </u> Pretrial Diversion 2. <u> </u> Dismissal 3. <u> </u> Acquittal 4. <u> </u> Conviction-Misdemeanor 5. <u> </u> No. of Counts 6. <u> 4 </u> Conviction-Felony 7. <u> 6 </u> No. of Counts	E. RECOVERY AND LOSS PREVENTED 1. Recovery \$ <u> </u> 2. Potential Economic Loss Prevented \$ <u> </u>																
C. SENTENCES (No. of Subjects) 1. <u> 4 </u> Confinement 2. <u> </u> Probation 3. <u> </u> Suspended 4. <u> 1 </u> Fine 5. \$ <u>10,000</u> Amount of Fine	F. CIVIL MATTERS <u>Government Defendant</u> 1. Amount of Suit \$ <u> </u> 2. Settlement or Award \$ <u> </u> <u>Government Plaintiff</u> 3. Amount of Suit \$ <u> </u> 4. Settlement or Award \$ <u> </u>																

REMARKS:

MICHAEL BURNETT; sentenced to three years custody of Attorney General for violation of T 18, Section 2314.
 sentenced to three and one half years, custody of the Attorney General for violation of T 18, Section 371.
 sentenced to six years custody of Attorney General for violation of T 18, Section 2113 (b).
 sentenced as follows; seven years custody of Attorney General for violation of T 18, Section 2113 (b) five years

- 1 - Bureau
 1 - New York (66-8492)
 1 - New York

* Include subclass letter if applicable

Prints Submitted
 by U.S. Marshall
 and Handled

 Bureau File
 Disposition Form
 Sent to Ident
 on this

SEARCHED	INDEXED
SERIALIZED	FILED
100	
FBI - NEW YORK	

29-15625-670
 Advise me when done

INSTRUCTIONS

(1) Submit an original only of the FD-515 within 30 days of a recordable accomplishment. Submission of each statistic should not be delayed awaiting the accumulation of several statistics.

(2) The date the FD-515 is submitted must be shown.

(3) The field office file number and appropriate subclassification, if applicable, must be set forth.

(4) Category A - **"PRELIMINARY JUDICIAL PROCESS"** - This indicates the number of subjects against whom judicial action has taken place. The number of subjects must be indicated with a numerical entry and not with the letter "X" or a check mark.

FOR EXAMPLE: If 4 subjects have 10 Bills of Indictment returned against them, enter 4 on line 3.

(5) Category B - **"FINAL JUDICIAL PROCESS"** - This, again, indicates the number of subjects and the number of counts or violations for which the subject is convicted. The number of counts shown on lines 5 and 7 must equal or exceed the number of subjects indicated on lines 4 and 6, never be less. Category 4 and 5 or 6 and 7 cannot be claimed prior to sentencing.

FOR EXAMPLE: If a subject is convicted for multiple counts in a single indictment or is convicted on a number of individual indictments, the entry in either case will be one subject convicted.

(6) Category C - **"SENTENCES"** - Indicate only the number of subjects and where a combination of sentences are imposed, enter only the most severe sentence. The number of subjects cannot exceed the number of subjects indicated in Category B, lines 4 and 6. The amount of the fine (line 5), if any, is always claimed in conjunction with either lines 1, 2, 3, or 4.

(7) Category D - **"ARRESTS/LOCATES/SUMMONS"** - Indicate the number of subjects under designated priorities.

(8) Category E - **"RECOVERY AND LOSS PREVENTED"** - Recoveries - When stolen or illegally possessed items with intrinsic value are recovered as a part of an FBI investigation, the value of the item should be recorded. Restitutions will be recorded in this category when ordered as part of a sentence.

Potential Economic Loss Prevented - Record items such as counterfeit securities, money orders, Cashier Checks, Travelers Checks, and pirated copyright and patented material.

A justification airtel must be submitted with the FD-515 as an enclosure when the recovery or potential economic loss prevented exceeds \$1,000,000.

(9) Category F - **"CIVIL MATTERS"** - To record an entry in this category, it is necessary to conduct actual investigation in the matter. Both the original amount sought and the damages awarded by the court are to be recorded under amount of suit and settlement or award. Therefore, if numbers 1 or 3 are completed, it is necessary that number 2 and 4 also be completed even if by zero. The only exception would be when monies are paid by the subject in Ascertaining Financial Ability Matters wherein it is not necessary to record an entry in F3.

(10) **"REMARKS"** - Identify the name of the subject(s) and when reporting a conviction identify the Title, Section, and Code applicable to each count convicted.

(11) **Errors** - If a previously submitted FD-515 is determined to contain an error after verifying the statistic on the Monthly Statistical Results Report, submit an original only of the FD-515 clearly marking the top of the form in red ink with the word **"AMENDED."** Other than the title, FBIHQ file number (if known), field office file number, and date, only complete the category being corrected by a numerical entry representing the appropriate decrease or increase. If this entry represents a decrease, insert a minus "-" sign and if an increase, insert a plus "+" sign directly to the left of the numerical entries which will signify the type of correction.

NY 29-15128

custody of Attorney General (to run concurrently with the above seven years) for violation of T 18, Section 371. Three years custody of Attorney General for violation of Title 18, Section 928. (These three years to run consecutively with the above seven years).

In addition was fined in the amount of \$10,000.

SAC, DIVISION II (29-15128)

11/6/79

SA [REDACTED]

b6
b7C

[REDACTED]
ET AL

On October 20, 1979, SA [REDACTED] while acting in an undercover capacity concerning above captioned case incurred expenses totaling [REDACTED]

b6
b7C
b7E

[REDACTED] It was not feasible to obtain a receipt.

SA [REDACTED] was posing as [REDACTED] meeting with subjects in the restaurant to negotiate a "deal" for stolen securities. A deal was negotiated and a recovery was subsequently made of \$5,580,000 in stolen securities.

RMB:nad
(3)

29-15128-671
[REDACTED] [REDACTED]
b6
b7C

[REDACTED] [REDACTED]

FSA BLUE SLIP

I SA [redacted], request \$ [redacted] amount for payment to

[redacted] in connection with investigation entitled

29-15128

Authority: B. Airtel dtd

Payments made by other office(s):

☐ Yes ☒ No

b6
b7C
b7E

(If "Yes" list office(s) under details)

Period Covered: 10/10/79

Services:

Expenses:

Total:

Details: In case captioned [redacted] ETAL, NY 29-15128
SA [redacted] acted in undercover capacity to cover a
sensitive meeting dealing with the negotiation
of stolen securities.

Reviewed:

Supervisor

John W. Dancy ASAC-2

Check Number: 2817

Check Dated: 11/13/79

b6
b7C

On 11/13/79 date, I received from Field Support Account

\$ [redacted] amount for expenditure(s) in connection with the above matter.

Signed:

(Special Agent)

Category: F

Investigative Program: WCC

Item Number: _____

Voucher Dated: _____

I hereby certify that the above represents a proper and approved expenditure and that funds were
 expended on 10/20/79 date per attached receipt(s) or certificate(s).

Approved ☒

Disapproved ☐

Signed:

John W. Dancy
ASAC-2

(Title)

29-15128-671A

FBI

TRANSMIT VIA:

☐ Teletype☐ Facsimile☐ _____

PRECEDENCE:

☐ Immediate☐ Priority☒ Routine

CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☐ UNCLAS E F T O☒ UNCLASDate 3/14/80b6
b7CCORRECTIONS MADE ON
CARDS

FM NEW YORK (29-15128) (P) (M-2)

TO DIRECTOR (29-85152). ROUTINE

BT

UNCLAS

[REDACTED] ET AL, BF&E; ITSP, OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED JANUARY 17, 1980, AND BUREAU AIRTEL
TO NEW YORK, DATED JANUARY 24, 1980.

AUTHORIZATION IS REQUESTED TO UTILIZE AN ELECTRONIC DEVICE ON

[REDACTED] TO MONITOR AND/OR
RECORD PRIVATE CONVERSATIONS BETWEEN [REDACTED]

b6
b7C
b7D
b7E

CAPTIONED MATTER INVOLVES MULTIPLE^E SECURITY THEFTS FROM VARIOUS
BROKERAGE AND CLEARING HOUSES IN NEW YORK, NEW YORK.

NEW YORK INTENDS TO FIRST HAVE [REDACTED]

MEET IN MIAMI WITH [REDACTED]

[REDACTED] DURING THIS RECORDED
CONVERSATION BETWEEN [REDACTED] IT IS ANTICIPATED [REDACTED] WILL

① NEW YORK
1-SUPERVISOR #M-2
1-PROGRAM MANAGER, ADIC

GAM:jp
(3)

40#M-2

b6
b7CApproved: [Signature]Transmitted: [Signature]

(Number)

(Time)

HQ - 0321

29-15128-672

Jm X

FBI

TRANSMIT VIA:

☐ Teletype☐ Facsimile☐ _____

PRECEDENCE:

☐ Immediate☐ Priority☐ Routine

CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☐ UNCLAS E F T O☐ UNCLAS

Date _____

PAGE TWO UNCLAS

MAKE INCRIMINATING STATEMENTS TO [] REGARDING PREVIOUS STOLEN

SECURITY DEALS IN WHICH [] WAS INVOLVED WITH []

WITH REFERENCE TO []

[] WILL []

DURING [] WEARING []
A NAGRA RECORDER, WILL [][] APPEARANCE IN THE
SDNY BEFORE THE FEDERAL GRAND JURY. [] NYO WILL SEEK
TO []

BUREAU SHOULD NOTE THAT []

AUSA [] SDNY, HAS BEEN FULLY ADVISED OF THIS

OPERATION AND HAS ADVISED THAT THE USE OF THE BODY RECORDER []

b6
b7C
b7D
b7Eb3
b6
b7C
b7D
b7Eb6
b7C
b7D
b7E

Approved: _____

Transmitted _____
(Number) (Time)

Per _____

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 3/14/80

FM NEW YORK (29-15128) (P) (M-2)

TO DIRECTOR (29-85152) PRIORITY

BT

UNCLAS

[REDACTED] ET AL, BF&E; ITSP, OO: NEW YORK.

REBUREAUAIRTEL TO NEW YORK, DATED JANUARY 24, 1980, AND NYTEL
TO THE BUREAU, DATED JANUARY 17, 1980.

RENEWAL OF AUTHORITY FOR AN ADDITIONAL [REDACTED] IS REQUESTED
TO UTILIZE AN ELECTRONIC DEVICE [REDACTED]

[REDACTED] TO MONITOR AND/OR RECORD PRIVATE CONVERSATIONS
BETWEEN [REDACTED]

BUREAU IS ADVISED THAT PREVIOUS [REDACTED] AUTHORIZATION GRANTED IN
REFERENCED BUREAU AIRTEL WAS NOT UTILIZED BY NYO. NEW YORK HAS
DETERMINED THROUGH DISCUSSIONS WITH COOPERATING SUBJECTS [REDACTED] AND
[REDACTED] REGARDING SUBJECT [REDACTED] THAT THE APPROPRIATE TIME TO
UNDERTAKE THIS CONSENSUAL MONITORING OPERATION TARGETING [REDACTED]

①-NEW YORK
1-SUPERVISOR #M-2
1-PROGRAM MANAGER, ADIC

GAM:jp
(3)

4P#M-2

Approved: N. J.

Transmitted

(Number)

b6
b7Cb6
b7C
b7Db6
b7C

HQ - 0243 29-15128-673
Km Km

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

b6
b7C
b7D
b7E

PAGE TWO UNCLAS

NEW YORK INTENDS TO HAVE [] MEET IN MIAMI WITH [] TO
DISCUSS [] DURING THIS
RECORDED DISCUSSION, IT IS ANTICIPATED [] WILL MAKE INCRIMINATING
STATEMENTS TO [] REGARDING []

[]
AUSA [] SDNY, HAS ADVISED THAT USE OF A BODY
RECORDER BY [] WOULD NOT CONSTITUTE AN ENTRAPMENT SITUATION AND
ADDITIONALLY APPROVED USE OF A BODY RECORDER BY [] HAS
STATED THAT HE WILL SIGN THE NECESSARY WAIVER FORMS AND WILL TESTIFY
WHEN NECESSARY.

b6
b7C
b7D

BT

#

Approved: _____

Transmitted _____

(Number) (Time)

Per _____

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 3/19/80

FM NEW YORK (29-15128) (P) (M-2)

TO DIRECTOR (29-85152) ROUTINE

BT

UNCLAS

[REDACTED] ET AL, BF&E; ITSP, OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED MARCH 14, 1980.

AUTHORIZATION IS REQUESTED TO UTILIZE AN ELECTRONIC DEVICE ON

[REDACTED] TO MONITOR
AND/OR RECORD PRIVATE CONVERSATIONS BETWEEN [REDACTED]
[REDACTED]

CAPTIONED MATTER INVOLVES MULTIPLE SECURITY THEFTS FROM
VARIOUS BROKERAGE AND CLEARING HOUSES IN NEW YORK, NEW YORK.

NYO INTENDS TO [REDACTED]

[REDACTED] DURING THIS
RECORDED CONVERSATION BETWEEN [REDACTED] IT IS
ANTICIPATED [REDACTED] WILL MAKE INCRIMINATING STATEMENTS TO [REDACTED]

①-NEW YORK
1-SUPERVISOR #M-2
1-PROGRAM MANAGER, ADIC
#M-2

GAM:jpb
(3)

Approved: W [Signature]

Transmitted

(Number)

(Time)

U.S. GOVERNMENT

7-6402

b6
b7C
b7D
b7Eb6
b7C

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

PAGE TWO UNCLAS

REGARDING _____

WITH REFERENCE TO _____

WILL CONTACT _____

TO ARRANGE A THREE WAY MEETING BETWEEN _____

b6
b7C
b7D
b7E

DURING THE ABOVE MENTIONED THREE WAY MEETING, _____

WEARING

A NAGRA RECORDER WILL OBTAIN _____

APPEARANCE IN THE SDNY BEFORE

THE FEDERAL GRAND JURY. IN ADDITION, NYO WILL SEEK TO OBTAIN

INCRIMINATING STATEMENTS REGARDING _____

b3
b6
b7C
b7D
b7E

BUREAU SHOULD NOTE THAT _____

AUSA _____

SDNY, HAS BEEN FULLY APPRISED OF THIS OPERATION

AND HAS ADVISED THAT THE USE OF THE BODY RECORDER BY _____ WOULD

NOT CONSTITUTE AN ENTRAPMENT SITUATION, AND ADDITIONALLY, HAS

b6
b7C
b7D
b7E

Approved: _____

Transmitted _____

(Number) (Time)

Per _____

Airtel

MAR 20 1980

b6
b7C

TO: SAC, CHICAGO (196-200) (SQUAD 7)

FROM: ADIC, NEW YORK (29-15128) (P) (M-2)

SUBJECT: MICHAEL RAYMOND, aka;
FBW (A)
ITSP
(OO:CG)

[REDACTED]
ET AL
BF&E;
ITSP (A)
(OO:NY)

ReCGairtel to NY, dated 2/19/80.

For information of CG, extensive information regarding subject MICHAEL RAYMOND, aka, Michael Burnett has been furnished on a regular basis by the NYO to CG since December, 1979 under CG (183-854 SUB J), case entitled JOHN PHILIP CERONE, aka, Jack Cerone; RICO; OO:CG. A routine review of CG indices should have clearly indicated the existence of this information in the CG files.

LEAD

CHICAGO

AT CHICAGO, ILLINOIS. Will review CG (183-854 SUB J) in order to obtain complete details regarding [REDACTED]

b7D
b7E

2 - Chicago
1 - New York
1 - Supv. M-2
GAM:nad
(4)

29-15128-675

For Km

[Signature]

29-15128-676

The Km	
JH	

3024/80
(Date)

New York, NY
(Location)

I, [redacted]

hereby authorize [redacted]

and

Others

, Special Agents of the

b6
b7C
b7D

Federal Bureau of Investigation, United States Department of Justice, to place a

☒ Body Recorder

☒ Transmitter

on my person for the purpose of recording any conversations

with [redacted]

and Others

(Subject(s))

which I may have on or about [redacted]

I have given this written permission to the above-named Special

Agents voluntarily and without threats or promises of any kind

(Signature)

Witnesses:

b6
b7C

SA, BI, NY, NY 3/24/80

29-15128-677

Ym *W* *Km*

1930

FBI - NEW YORK

Jlb

D

0
3/24/80
(Date)New York, NY
(Location)

I, [redacted]

[redacted]
(Address)

authorize [redacted]

and

b6
b7C
b7D

Others

, Special Agents of the

Federal Bureau of Investigation, United States Department of Justice, to install a

recording device on a telephone located at

NY, NY
(Location)

for the purpose of recording any conversation I may have on that telephone with

[redacted]

and others

on or about

[redacted]

I have given this written permission to the above-named Special

Agents voluntarily, and without threats or promises of any kind.

[redacted]
(Signature)

Witnesses:

[redacted]
SA, [redacted] F, NY, NY 3/24/80b6
b7C

Memorandum

TO : SAC, Div II (29-15128)

DATE: 3/24/80

FROM : SA [REDACTED]

b6
b7C

SUBJECT: [REDACTED]

ET AL
D F T E, FBW
cc: NY

Permission is requested to telephonically record a conversation between cooperating Subject [REDACTED] and subject [REDACTED]

b6
b7C
b7D

CALL is anticipated to be made sometime on instant date from the NYO.

Arrangements being made to arrange meet between subject [REDACTED] and [REDACTED] with objective of obtaining incriminating statements from [REDACTED] re his involvement in captioned case.

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 21 1980	
FBI-NEW YORK	

[Signature]

29-15128-678

*[Signature]*cc
(1)approved
[Signature]

FEDERAL BUREAU OF INVESTIGATION

2/13/80

Date of transcription

On February 5, 1980, [redacted]

[redacted]
[redacted]
[redacted] telephonically contacted Special Agent (SA) [redacted]
and advised as follows:

[redacted] stated that he did, in fact, apply for and obtain a United States Passport in November, 1978, at the United States Passport Office on 5th Avenue, New York, New York.

[redacted] advised that he was approached by a friend of his named, first name unknown (FNU) [redacted] told [redacted] that [redacted] could make three hundred dollars (\$300.00), if he, [redacted] would obtain a passport and give it to him, [redacted] that [redacted] told him that the passport was for [redacted]

Advised

[redacted] advised that he then went with [redacted] to a travel agency somewhere in the vicinity of the Passport Office, where a travel agent, who was a friend of [redacted] furnished he and [redacted] with a phony, photostatic copy of an airline ticket. [redacted] advised that [redacted] and [redacted] then went to the Passport Office and obtained a passport.

[redacted] advised that immediately upon receiving the passport, [redacted] turned the passport over to [redacted] [redacted] stated that he then reported the passport lost at the local police precinct, as he had been told to do by [redacted]

[redacted] recalled meeting both [redacted] and [redacted] in a car outside of [redacted] house, shortly thereafter. [redacted] and [redacted] told [redacted] that they had decided not to use the passport, but instructed [redacted] nevertheless, to be careful not to tell anyone. ~~a [redacted]~~

Investigation on 2/5/80 at New York, New York File # NY 29-15128-679

by SA [redacted] /cas

2/6/80

Date dictated

"AUTOMATED RECORDS"

29-15128-679

Mr. [unclear]

Ym

29-15128-680

for for

for

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 4/4/79b6
b7C
b7D

[redacted]
[redacted] telephone [redacted] was interviewed at the office of the U.S. Attorney, 1 St. Andrews Plaza. Present during the partial interview of [redacted] on March 23, 1979, were [redacted] attorneys, [redacted] and [redacted] Assistant U.S. Attorney [redacted] and Federal Investigator [redacted]. On these two days that [redacted] was interviewed, he provided the following information:

In [redacted] involving primarily Spanish Pesetas. He was working mostly with one particular group of principals in Spain, whom he met through [redacted] New York, telephone [redacted]. These Spanish principals were introduced to him only through code names, one of which was [redacted]. He believed, however, the true identities of these individuals would be known to [redacted]. During this period of time, [redacted]. These money transactions conducted during this period of time were all secretive in nature and were done only through the use of code names. [redacted]
[redacted]

In early [redacted] one of his Spanish principals with whom he was doing business, code named [redacted], informed him that there were substantial amounts of stocks and bonds [redacted] people may want liquidated. He told [redacted] he could handle it. About this time, he met [redacted]. He was introduced to [redacted]. [redacted] told him about Oxford International Bank and Trust Company, Ltd. (OIBT), what type of transactions it performed, and what its intentions were. He asked [redacted] if the bank would be interested in conducting transactions on behalf of his Spanish principals. He told [redacted] he did not know if the business would be cash, bonds, German stocks, English stocks, or exactly what it would be. [redacted] said it could be processed, whatever it was, through his bank.

Investigation on 3/23&27/79 at New York City, New York File # 87-40083-105 Miami 87-20083

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by SAs [redacted] PRC/blp Date dictated 3/30/79

He mentioned to [] the OIBT would be able to assist in these transactions, but [] wanted more information on the bank. [] wanted to be sure he could trust the bank and asked him to set up a meeting between [] and [] in Madrid, Spain. He did not know if the meeting was to pertain to the transactions subsequently entered into in November and December, 1978, involving the sale of bonds to Merrill Lynch or whether [] had something else in mind, such as opening an account at OIBT. In any event, arrangements were made for [] to fly to Madrid, Spain. This was in [] came to New York City on banking business and was going to fly on to Madrid to meet []. In the interim, [] called him and said to cancel the meeting, as there was a death or sickness in his family. He subsequently learned [] opened an account at Merrill Lynch while in New York. He did not tell [] to open the account. [] had previously mentioned he could do business with a brokerage house in Fort Lauderdale, Florida. He did not remember the name of this firm.

Between [] he had been in Spain four to six times conducting Peseta transactions for his Spanish clients. During this period of time, also he was contacted by [] at which time [] said he would be contacted by a friend and gave the friend a code name. He could not recall the code name, but this man was authorized to conduct business on behalf of []. Shortly thereafter, a man came to see him at his apartment on 55th Street in New York City. The man gave the appropriate code name and said he would like to "launder" or "clean" some "negotiable paper" and said no laws would be violated. He told this man he did not want to receive any negotiable paper in the U.S. as he did not want to violate any U.S. laws. At this time, it was his impression all this "negotiable paper" was coming from Spain. He learned subsequently the name of this man was [] said he would be contacted when a transaction was ready.

This was not the 1st time
[] ever met []

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early as
Florida
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in Nov/Dec
1977

From
Spain?

During this period of time also, he was contacted various times by [redacted] On one of these occasions, [redacted] contacted him and said he should get in touch with his banking friend and ask what preparations were necessary to handle the transactions and exchange of whatever was going to be given for sale. His banking friend, [redacted] did not want to travel to New York or Madrid and wanted to arrange for a meeting place to be mutually agreed upon. It was thereafter decided the meeting place would be Port Au Prince, Haiti. b6 b7C b7D

Around the middle of November, 1978, [redacted] contacted him to say the transaction was on, exact date not known. At the time he was at his home in Anguilla and had no telephone. Any messages were left for him at the office of a lawyer, [redacted] on Anguilla. In the many telephone conversations he had with [redacted] one of the two told him a man named [redacted] was going to be the courier of the "negotiable paper" and he was given a description of [redacted] as being 160-165 pounds [redacted] The names [redacted] and [redacted] were also given to him as being code names for [redacted]

Inasmuch as the deal was pending, he contacted [redacted] and asked him to go to his home on [redacted] to watch the house, take care of his dogs, and to wait for any telephone calls from [redacted] He was unable to recall who contacted him, whether [redacted] or [redacted] to inform him the deal was on and set for [redacted] at [redacted] This was a busy time of the year in the Caribbean and he told [redacted] to insure that he, [redacted]

He went to the airport at Port Au Prince, Haiti, prior to the arrival of the three individuals from New York and made arrangements for the use of a conference room at the airport. The first to arrive was [redacted] of OIBT. He [redacted]

He then went to meet a plane [redacted]

from which [] deplaned and then to the coffee shop where [] All of them went to the conference room, where [] filled out papers to open an account with OIBT. [] gave him a passport in the name of [] which he, in turn, passed to [] He believed this is normal procedure for opening an account. He believed the picture on the passport was that of [] He thought [] copied the passport information on the papers he was preparing. After the papers were filled out, both [] to be sold.

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At this time, the proceeds of the sale of the bonds were to be divided in a number of ways. He was to get a percentage for setting up the deal; OIBT was to get a percentage; [] was to get an additional percentage for himself; [] was to get a percentage; and the balance was to be divided into two payments. One of the payments was to be given to [] and/or [] in the U.S. immediately following the sale. Another larger amount [] and [] wanted to sell for Spanish Pesetas. In this regard, he made arrangements with [] of Madrid, Spain, to sell the U.S. dollars for Spanish Pesetas. []

[] called Mullet Investment Company and opened an account in the company name at the Bank of Nova Scotia at St. Martin, N.A. [] Mullet.

After [] gave the bonds to [] on [] the meeting was ended. [] returned to [] stayed at the El Rancho Hotel in Port Au Prince, where he and his wife, [] were staying. He did not know what [] did following the meeting. The next day he and his wife returned to Anguilla; [] went to Miami, Florida.

The events of the following day, as regards to who contacted whom, were not clear. However, he believed a day or so later [] telephoned him on Anguilla to inform him of what he sold the bonds for. He believed [] subsequently called him and he gave this amount to whomever

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place

At whose
instruction

called. This person in turn gave him the two amounts to split, namely the amount to go to [redacted] in the U.S. and the amount that was to be given to him and sold for Spanish Pesetas. He then somehow made contact with [redacted] and gave him the appropriate split.

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On [redacted] brought the larger portion of the money split to him in Tortolla. From Tortolla he brought the money to St. Martin, where he placed the money with Algemene Bank of the Netherlands (ABM). Over that weekend, he made a deal with [redacted] for the purchase of Spanish Pesetas for the U.S. dollars and subsequently took some of the money from ABM and placed it in the Mullet account at the Bank of Nova Scotia, where he purchased certificates of deposit.

2 [redacted] then contacted him to advise of the motel room he was in in Spain. He then informed [redacted] of this room number and [redacted] brought the agreed upon amount of Spanish Pesetas to [redacted] and/or [redacted] at the motel and left it. [redacted] was satisfied the U.S. dollars were in his account in St. Martin.

This same procedure was followed on two subsequent occasions in [redacted] wherein [redacted] would bring the bonds to Haiti, the bonds would be given to [redacted] would sell the bonds to Merrill Lynch in Miami, and the proceeds would be given to [redacted] in two amounts. [redacted] would give the lesser amount to [redacted] in the U.S. and the larger amount to him, which would be sold to [redacted] for Spanish Pesetas. On one occasion, however, [redacted] was not able to make the pickup and the money was placed in a safe deposit box at the motel where [redacted] was registered and he went to the safe deposit box himself to pick up the money. This money left in the safe deposit box was for his commission, as well as [redacted] commission, and expenses. Because of the number of transactions involved, the various amounts, and the number of ways the money was to be split, he was unable to recall the exact number of dollars in the various transactions.

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Through his various contacts with [redacted] he believed their true names to be [redacted] and [redacted]. He did not know who was who as regards to the code names. He said another man came with [redacted] on one occasion and he believed this man's name was [redacted] (phonetic). Both [redacted] at one time, told him if they were needed in any other type transactions, they would be willing to travel for him. Following the initial call from [redacted] and his subsequent meeting with [redacted] he got the feeling any future dealings would be through [redacted] which turned out to be the case. Initially, he thought the "negotiable paper" was to be coming from Spain, but during the course of the various transactions, he got the feeling more and more the "paper" was not coming from Spain, but rather from the U.S. At no time during the various transactions did he know the bonds were stolen. He thought he was working with someone who probably had tax problems or did not want authorities to know about money this person or persons had.

He added that on the night of [redacted] [redacted] he stayed with his wife at the Miami Springs Villas in Miami, Florida, under the name of [redacted]

The following description was obtained through observation and interview:

Name

Sex

Race

Date of birth

Place of birth

Height

Weight

Hair

Eyes

Wife

Residence

[redacted]
Male

White

[redacted]
[redacted] pounds

29-15128-687

For *Fun*

Ham

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 3/2/79

[redacted]
American Airlines, Tulsa, Oklahoma, pursuant to the
issuance of a subpoena duces tecum, made available the
reservations information for American Airlines flight
657 on November 19, 1978 as regards to purchase of
tickets for this flight by [redacted] and
[redacted]

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[redacted] The above information was furnished by [redacted]
[redacted] through the United States Mail.

Investigation on 2/20/79 at Miami, Florida File # 7.4 87-82402-
Miami 87-40083
by SA [redacted] lsp Date dictated 2/26/79

"AUTOMATED RECORD"

29-15128-682

File

Jan

FEDERAL BUREAU OF INVESTIGATION

1/4/79

Date of transcription

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[redacted]
[redacted] was interviewed at the Miami Office of the FBI where he provided the following information:

He is [redacted]

and has been so employed since [redacted]
[redacted]
[redacted]

He has known [redacted] since [redacted] is a citizen of [redacted]
[redacted]

He met [redacted] a couple of years ago, also on [redacted]. He does not recall the circumstances of the meeting, but believed it was probably in connection with his normal banking activities on the island. He met [redacted] probably once on [redacted] since the initial meeting, that being when he came to [redacted] to set up the Exchange National Bank and Trust Company, Limited with [redacted]. When [redacted] opened a business checking account at the Oxford International Bank and Trust Company, Limited (OIBT) in the name of Exchange Trust. [redacted] told him [redacted] was working with some people in Europe who wanted to sell securities and desired to sell them through OIBT because it was an "off shore" bank and would not be reporting the transaction. He believed [redacted] contacted him in this regard because it was apparent to him neither [redacted] knew anything at all about securities. Periodically, [redacted] would keep him posted on potential security transactions, but nothing ever transpired until about [redacted].

At this time [redacted] called him and asked him to go to Madrid to meet some people who had securities for sale. [redacted] told him that he would handle the expenses and gave him money for a one way trip. He believed this was some time in [redacted]. On this occasion he went from Grand Turk Island to Miami and finally to New York where he was to meet [redacted]. When he got to New York he was told by [redacted] that the principal

12/27/78

Miami, Florida

Miami 87-40083

Investigation on

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File #

by SA [redacted] /es

Date dictated 12/29/78

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in Madrid, Spain was ill or there was an illness in his family and he would not be able to see him. This occurred in [redacted]

[redacted] told him at this time also that the deal was still on, but that he could not go to Madrid as he would not be able to see the individual who had the securities for sale, but that this was just a temporary delay. [redacted] told him these security sales would amount to about \$5,000,000 or \$6,000,000 or perhaps more.

While in New York City, inasmuch as he could not travel to Madrid, Spain, he went to the office of Merrill, Lynch, Pierce, Fenner and Smith where he saw a former acquaintance, [redacted]. He explained to [redacted] the type of transaction he wanted to perform and [redacted] told him that it would not be handled in his department, but rather in the International Sales Department. [redacted] took him to the International Department where he introduced him to two people, one of whom was [redacted] (Phonetic) and another individual whose name he could not recall. He explained to [redacted] and the other individual what he desired to do concerning the sale of securities for the European people who wished to remain anonymous. He also told them he wanted to be sure that when the bank was involved in these sales that he wanted to be sure these securities were legitimate and genuine. It was explained to him there was a clearing operation for forged securities. At this time also he gave a [redacted]

[redacted] of the Oxford International Bank and Trust Company, Limited. [redacted] with Merrill, Lynch and [redacted] of the Oxford Bank. He made arrangements for the opening of an account with Merrill Lynch and executed some type of form.

Following the opening of the account with Merrill Lynch, he returned to [redacted] through Miami, Florida. Periodically [redacted] would contact him to advise him that he had been in touch with [redacted] and everything was going along satisfactorily. One day in about the middle of [redacted] possibly around [redacted] told [redacted] wanted [redacted] in New York City the next day. He said he could not go at this time and [redacted] apparently

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recontacted [] and ascertained that he could go later in the same week. [] said that [] informed him that the security sale could happen any day and wanted to know if it was possible for him to go to Haiti to meet some people and pick up securities on one day's notice and if not, did he mind going on Sunday. He agreed that this would be satisfactory and [] apparently passed this on [] as it was set up that he would go to Port-au-Prince, Haiti on []. At this time also [] informed him that he would be going to New York City.

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He believed he got a call from [] or possibly he called [] concerning the arrangements on []. He recalled the conversation centering around the fact that he should be there by 11:30 or no later than 12:00 noon. During this conversation also, [] asked him if he had a portable typewriter he could bring with him. He informed [] he did not have one but could possibly borrow one. He recalled also that [] said something about his flight, possibly an Air France flight.

In any event, on [] he flew to Port-au-Prince, Haiti and was met upon his arrival at the airport by []. He believed that [] came to Port-au-Prince from Anguilla. [] went to a hotel in Port-au-Prince while he and [] went to the main terminal and made arrangements for a conference room.

While in the conference room, [] prepared a document on the typewriter that he had brought from Grand Turk on Oxford Bank stationery setting forth the conditions of handling the sale of the securities. It was set forth a fee of 2 percent of the gross sales for the bank and in the interest of time and speed of handling, all expenses would be paid by the client. [] explained the conditions would be signed by an individual who would be coming to open an account. [] wanted all transactions handled as expeditiously as possible and told him to do whatever it takes to get it done expeditiously such as chartering planes, etcetera. He talked to [] for approximately 45 minutes and then he and [] went out to meet a plane which had just come in to Port-au-Prince, Airport. He believed it was an American Airlines plane and saw

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[redacted] deplane. He did not see anyone else with [redacted] but [redacted] informed him the other people were there also. He saw [redacted] come through the customs section and noted he was with a couple of other people. He, [redacted] and the other people he had previously observed with [redacted] went back to the same conference room where he was introduced to all the other individuals with [redacted]. One of the other individuals he was introduced to was [redacted]. He could not recall the name of the other individual. [redacted]

WAS the
guy who
met
at his apt
in NY
referred by
[redacted]
the same
guy as
shows up here

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He [redacted] and [redacted] sat down at a table while the others were off to the side. All three went over the document that [redacted] had typed and which was subsequently signed by [redacted]. At this time also [redacted] filled out a New Account Form for a personal checking account and executed signature cards. He gave [redacted] both business and personal checking account starter kits. At this time [redacted] opened his attache case and gave him 150 Battery Park Bearer Bonds in the amount of \$5,000 each for a total of \$750,000. He looked at the bonds, counted them and gave a receipt to [redacted]. At this time there was no discussion about what was to be done with the proceeds following the sale or to whom the bonds were to be sold.

He and [redacted] then went to the general aviation area of the Port-au-Prince Airport where he cleared Customs and returned to Grand Turk. [redacted] had previously made arrangements to return to the main terminal and his instructions upon departure were to keep in touch. [redacted] also told him that he would call or [redacted] should call him later that day so that [redacted] would know that he arrived on Grand Turk.

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Later that same evening he spoke with [redacted] and told [redacted] he expected he would be selling the bonds through Merrill, Lynch, Pierce, Fenner and Smith and during that same evening he was looking in an old Wall Street Journal and saw this same issue of bonds for sale and got an idea of the price he should be getting from Merrill, Lynch. The next morning,

Where
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* How did [redacted] know this? [redacted] called him to inform him that [redacted] would prefer that he not sell the bonds through Merrill, Lynch as some of the bonds were purchased through them. He said he informed [redacted] that he had another account, but had not worked with this account previously. This account was with E.F. Hutton in Boca Raton, Florida and the agent's name there was [redacted] Who told This??

Later that same morning, on [redacted] he contacted [redacted] and put in an order for bids on the bonds. [redacted] called him back at Grand Turk with a bid of 74 and 5/8ths. He told [redacted] he thought he should get more and to hold off on the sale. He then called Merrill, Lynch in New York City and spoke to [redacted]. He told [redacted] he had the Battery Park Bonds and wanted a bid. [redacted] connected him with a trader named [redacted] who made a bid of 75. [redacted] told him he had already "seen" the bonds and made a bid to another broker. It was apparent to him at this time that [redacted] had "shopped" the bonds, which he told [redacted] not to do and made the decision at this time not to do business with [redacted]. [redacted] then gave him back to [redacted] and he made arrangements with [redacted] for delivery of the bonds the following [redacted]. This was agreeable with [redacted] and he asked [redacted] to make arrangements for delivery of the bonds at Fort Lauderdale, Florida. He also told [redacted] he would need money available. [redacted] also told him what office to go to in Fort Lauderdale. In the interim he had called [redacted] in a hotel in Haiti and told [redacted] what was happening. [redacted] said that it would be satisfactory and he informed [redacted] that he would have the cash about 12:00 or 1:00 on [redacted]. He told [redacted] that the bonds were sold for \$565,000. [redacted] informed him he wanted \$550,000 from the sale and the balance would be for the bank for fees and expenses. He told [redacted] that when he was finished at Merrill, Lynch he would call him in Anguilla and [redacted] informed him that he would be in touch with [redacted] and that [redacted] would meet him in Miami. He believed the telephone number that he contacted [redacted] at in Anguilla was [redacted] and the number from the United States [redacted].

On [redacted], he flew to Fort Lauderdale, Florida and went to the office of Merrill, Lynch. He tendered the bonds for payment and was given a check for \$565,000 made

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payable to Merrill, Lynch for the account of Oxford International Bank and Trust Company, Limited. He then went to Merrill, Lynch in Miami where he turned in the check he had gotten from the Fort Lauderdale Office and received another check from the Miami Office for the same amount drawn on the Southeast First National Bank. He then went to the Southeast First National Bank where he deposited the check in his account at the Southeast First National Bank. He then went to the currency area when the deposit was completed where he signed a withdrawal slip for \$550,000 in cash. While doing this he also had a telephone number to contact [redacted] and did contact [redacted] at which time [redacted] agreed to meet him at the bank lobby of Southeast First National Bank. [redacted] came to the bank alone and he introduced [redacted] to the account officer whose name was (First Name Unknown) [redacted]

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As per previous instructions of [redacted] he gave [redacted] \$225,000 in cash. [redacted] also had previously informed him that [redacted] would know what to do with the balance. At this time [redacted] was staying at the Columbus Hotel in Miami. [redacted] told him also at this time the money was to be delivered to a hotel on Miami Beach and he drove [redacted] to a hotel on Collins Avenue in Miami Beach where he observed [redacted] enter the hotel and speak to two persons, one of whom was [redacted]. He did not know the other person [redacted] was speaking to. [redacted] went into the hotel with the \$225,000, stayed about 10 or 15 minutes, and came back out without the money. He had previously made arrangements with [redacted]

[redacted] who lived at [redacted] in [redacted] to have dinner with her. He informed [redacted] that he was going to have dinner with this girl and [redacted] said that inasmuch as he knew the girl also, he would not mind coming along. [redacted] said he had an errand to run first and he agreed to take [redacted] to Coral Gables, a place unrecalled to see someone. When they got there they were too late to see this individual so both of them went to have dinner with [redacted]. That night he and [redacted] both stayed at the Columbus Hotel in separate rooms and the next morning, November 23, 1978, they both checked out. He contacted [redacted] and his wife who had flown him from Grand Turk to Miami, and made arrangements with them to fly back to Grand Turk on Friday morning. He and [redacted] then went to Fort Lauderdale, Florida and stayed at the Marina Inn that evening in the same room. [redacted] called

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[redacted] and made arrangements to meet [redacted] in Tortolla the following day. He called [redacted] and made arrangements with [redacted] that after he and [redacted] wife were dropped off in Grand Turk that he would fly [redacted] to Tortolla. As he and [redacted] talked more about Tortolla he decided he would go also as he had never been there.

The following morning he, [redacted] and his wife, all flew to South Caicos where they refueled the plane and went on to Grand Turk. [redacted] and he went into the bank. [redacted] went into the bank office where [redacted] gave him two blank checks signed by [redacted]. These checks were to be drawn on [redacted] account at the bank to cover the deposit and withdrawal of the funds. [redacted] made out the checks in the amount of \$225,000 and \$325,000 to the Oxford Bank. He then made the necessary entries into his bank records to show the deposit and withdrawal of the funds in the account of [redacted]. He and [redacted] then stopped at [redacted] residence for a change of clothes and went to the airport and he, [redacted] flew to Tortolla. They landed at Beef Island. He had previously given the \$325,000 to [redacted] at the plane before take off and when they landed on Beef Island, [redacted] walked out to the run way where he met them. All of them then walked to the Customs area where he saw [redacted] waiting and all of them went into customs. [redacted] said he and his wife were leaving right away for Anguilla and [redacted] and his wife, then left without ever clearing customs. Either [redacted] said that he would be in touch.

Periodically he was contacted by [redacted] by telephone and on [redacted] inasmuch as he believed [redacted] was traveling, he believes he had a call from [redacted] who said to meet him in Port-au-Prince, Haiti on [redacted]

On [redacted] he flew to Port-au-Prince in a plane chartered from [redacted] met him at the general aviation area and he observed that [redacted] was also there. All three of them then drove into town where they had lunch. He and [redacted] wife then went to the terminal of the airport. He and [redacted] went to the same conference room while his wife went somewhere else. At this time a plane was on the ground with people deplaning. He observed one of the people deplaning was [redacted] another was [redacted]

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[redacted] and he believes there was the same individual that had been at the first meeting also. At the conference room [redacted] opened his briefcase and gave him a number of bonds. He believed they were B.P. North American Bonds valued at \$750,000. [redacted] also gave him samples of four other issues, which he said were from another client and wanted him to get a quote on these issues also. He gave [redacted] two receipts for the two separate transactions and returned to Grand Turk.

The following morning he called [redacted] in New York City and informed [redacted] he had a couple of batches of securities to sell and also had four types he needed valuations on which could be available for sale. [redacted] called him back later and gave him the quotes on the B.P. North American and valuations on two of the four separate items he had requested. He worked out a settlement date with [redacted] for the quotes on [redacted]. Around 9:30 a.m. that same morning, [redacted] had telephonically contacted him and he told [redacted] that he had sold the bonds given to him the previous Sunday and has quotes on two of the four as was requested. [redacted] said he would get back with him and subsequently [redacted] did call and inform him that [redacted] would bring in the four types that he was requested to get quotes on. He told [redacted] at this time that he needed the exact figure of what was to bring. [redacted] gave him a number of figures on the four items and he thereafter called Merrill, Lynch and sold the four issues before he saw [redacted] arrived the next Tuesday at about 2:00 p.m. by charter plane, which plane was possibly chartered from National Jet in Fort Lauderdale, Florida.

On [redacted] came to [redacted] with the four issues that [redacted] said he would be bringing. [redacted] said when he counted the issues, he noted that the count was not the same as [redacted] had previously given him in all cases. He then called [redacted] and told him what had happened and through a trader [redacted] broke the trade to a lesser amount. On the Monday sale of \$750,000 in bonds he had arranged for a settlement date of Wednesday, [redacted] and for the sale of the four other issues he made arrangements for a [redacted] settlement of \$435,000.

He made up a receipt for the four issues given to him by [redacted] and gave the receipt to [redacted]. Then he and [redacted] flew to Fort Lauderdale, Florida that evening arriving about 8:30 p.m. They rented a car and both drove to the Skyways Motel on Lejeune Road in Miami, Florida where they both stayed that evening in the same room. The following morning he opened a safe deposit box at the Skyways Motel in the name of [redacted] and with his name as a signer on the card. He went to Merrill, Lynch, Pierce, Fenner and Smith in Miami at about 8:30 a.m. and tendered these securities. Merrill, Lynch issued him a check in the amount of \$750,000 following which he went to the Southeast First National Bank where he deposited the money into the Oxford International Account at the Southeast First National Bank. He then gave the bank a withdrawal slip for \$730,000 in cash and following the withdrawal went to the Skyways Motel where he gave [redacted] an unrecalled amount of money, possibly around \$300,000, which [redacted] told him he should get. [redacted] was supposed to meet someone at the motel, he did not know who, but implication led him to believe it was [redacted]. [redacted] He went outside the motel and waited in his car for approximately 20 minutes with the balance of the cash. [redacted] came out and they both went to Aero Facilities at Miami Airport where he had previously chartered a plane through [redacted]. [redacted] He and [redacted] then flew back to [redacted] and both went to the bank where he gave the balance of the cash to [redacted]. [redacted] then gave him two checks signed out by [redacted] filled in for the amount. He then took [redacted] back to the plane and believes that [redacted] flew on to St. Maarten. [redacted] and the pilot were supposed to be back on [redacted] at approximately 4:00 p.m. on that day [redacted] but were not there at that time. He got a call from [redacted] whom he thinks was calling from either St. Maarten or Anguilla, advising that [redacted] would be there around 6:00 p.m. [redacted] finally landed at approximately 7:10 p.m. following which landing he was arrested by the local police. [redacted] saw to it that he got [redacted] checkbook and he observed that the checks were already signed. That same evening he flew back to Miami and returned to the Skyways Motel and stayed in the room that [redacted] had never checked out of.

On Thursday morning, [redacted] contacted him by telephone at the Skyways Motel and told him he should put \$190,000 in the safe deposit box which was previously rented by [redacted] and take the balance to St. Maarten on a chartered jet which [redacted] had made previous arrangement for at Aero Facilities. [redacted]

told him the jet was a [] charter. He then went to Merrill, Lynch in Miami with the previously mentioned four issues and turned them into Merrill, Lynch and received a check for \$435,000. He went to the Southeast First National Bank and deposited the check into the Oxford International Account at Southeast First National and prepared a withdrawal slip for \$420,000. He did as instructed by [] put \$190,000 in the safe deposit box at motel and made a notation on the back of the card that [] has permission to enter the safe deposit box. He then went to Aero Facilities and flew on the chartered [] jet to St. Maarten.

At the airport in St. Maarten he was met by [] and his wife who had a couple of dogs and baggage. [] were both leaving Anguilla for New York City. He gave [] the \$230,000 in cash following which [] said he had a meeting and was gone for about one hour. He also gave [] the keys to a car that he had left at Aero Facilities as well as the key to the safe deposit box and the key to the hotel room where he had stayed the previous evening. He, [] and [] wife then flew to South Caicos where they split up. He went back to Grand Turk and knows that [] and his wife flew on to Miami where the plane waited while [] left the plane and returned sometime later. He assumes that [] went to the hotel to secure the money in the safe deposit box.

Between [] again contacted him and informed him that he wanted to meet with him at Port-au-Prince, Haiti on [] informed him on this time that the transaction would be for 2.2 million dollars and also informed him that the amounts would be larger from now on.

On [] he flew to Port-au-Prince, Haiti with three employees of his bank, who he brought along for a side trip. The plane he flew on was chartered from [] He arrived at approximately 1:45 p.m. and noted that [] was not yet there. After doing a few things in the terminal and sending his employees in a cab downtown,

he saw [] walking in the terminal and believes [] got off an American Airlines plane which landed at approximately 2:10 p.m. He walked downstairs to meet [] and a short time later [] arrived, how he did not know, and all three went to the same conference room. [] opened a case and gave him an envelope, from which he removed a number of bonds and counted the bonds up to be valued at 1.4 million dollars. While he was writing up a receipt to give [] he believes [] said something to the effect that that was all they were getting today, but perhaps some more at a later date. He gave a receipt to [] and left the conference room with [] at which time [] said he would be in touch. [] then went back with [] He then returned to Grand Turk that same day.

On [] he called [] at Merrill, Lynch, sold the bonds, and set up a settlement date of []. [] The agreed upon price for the sale was \$1,371,326.39. [] had asked him to continue taking early settlements if he could possibly do it and when he called [] on this last occasion he again asked him for early settlement. Between the time he picked up the bonds from [] on this last occasion and the time he flew to Miami on [] [] had contacted him and mentioned something about sending a plane down for him. He said he told [] he could not keep handling the transactions this way, as he had [] and could not jump on a plane when [] called. In any event he called [] in Miami and told him not to come to Grand Turk to pick him up as he was going to fly on a commercial airline. [] called him and said he had been in touch with [] and knew he had cancelled the plane and [] told him that he would see him in Miami. He told [] he would be staying at either the Howard Johnsons or the Skyways Motel. [] said he would get in touch with him. On [] he flew to Miami and that night [] called him on the phone and said he was downstairs with his wife. He came downstairs and had a drink with [] and his wife and they talked for about 15 to 20 minutes. Most of the discussion centered around the arrest of [] on Grand Turk, but also arrangements were made as to what to do with the cash

MM 87-40083

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following the pick up at Merrill, Lynch. [] told him that he should have two bags, one containing \$500,000 and one containing \$750,000. [] told him he was to go to Aero Facilities in Miami with the two bags and give the bag containing \$500,000 to [] and take the bag containing \$750,000 to Freeport, Bahamas on a plane chartered by [] was to fly on another plane, previously chartered, also to Freeport, Bahamas and [] said he would meet him in the Customs area at Freeport.

He was to meet [] at Aero Facilities at approximately 10:00 a.m. on []. While at the office of Merrill, Lynch on that day, [] telephonically contacted him on two occasions to ascertain the reason for the delay in meeting him. He told [] on both occasions that he was having trouble with getting so much money and that he had to make arrangements with Brinks to bring the money to the airport.

[] stated that at no time during any of the transactions previously mentioned did he know the bonds had been stolen.

The following description was obtained through observation and interview:

Name	[]
Sex	Male
Race	White
Date of Birth	[]
Place of Birth	[]
Height	5 feet 8 inches
Weight	170 pounds
Hair	Blond (graying)
Eyes	Blue
Social Security No.	[]
Relatives	[]
Address	[]
Telephone Residence	[]

MM 87-40083

Identifying Numbers
Occupation
Employment

U. S. Passport Number

--

29-15128-683

Five Five

"AUTOMATED RECORD"

Jh

[Faint, mostly illegible text covering the majority of the page, possibly a list or report.]



FEDERAL BUREAU OF INVESTIGATION

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Date of transcription 2/6/79b6
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[redacted]
[redacted]
[redacted] was interviewed in the office of [redacted] Attorney At Law, Miramar Building. [redacted] was present during the interview and prior to the interview, [redacted] made available a copy of his statement prepared for the Grand Turk Police on [redacted] concerning his meeting and prior business contacts with [redacted]. He thereafter provided the following information:

Around [redacted] telephonically contacted him and desired to set up a meeting with [redacted] of the Oxford International Bank and Trust Company, Limited of Grand Turk Island. At this time all he knew was that [redacted] wanted some kind of a business transaction to take place and believed he wanted [redacted] to handle the transaction inasmuch as [redacted] in the name of Exchange National Trust Company, already had an account with Oxford. He did not recall the date of the meeting, but in any event, sent a plane, a Turks and Caicos Airlines plane, to Port Au Prince, Haiti to pick up [redacted] and upon his arrival, took him to [redacted] for the meeting. He was present for only some of the meeting, but as he was able to gather, [redacted] had a client who had about six millions dollars in securities that the client wanted to sell through Oxford International Bank and Trust Company, Limited (OIBT). He recalled that the client was supposed to be from Spain and remembered also there was some discussion about percentages for the bank following the sale and believed there was to be only one transaction.

Nothing concrete ever transpired until about [redacted] however, there were times when [redacted] would call to say everything was ready, that the deal is ready to go, but would then call back and say the deal was off for one reason or another.

In about [redacted] telephonically contacted him on Grand Turk Island, and informed him that the deal

Investigation on 1/27/79 at Grand Turk, British West Indies File # Miami 87-40083

by SA [redacted] :lsp Date dictated 1/31/79

was definitely on and for him to tell [] that [] would have to travel to New York City the following day and would have to, from there, go to Madrid, Spain to meet the client. [] also said to give [] \$1,000.00 for the trip out of expense money that [] had previously furnished him to cover expenses concerning Exchange National Trust. He informed [] of what [] wanted him to do and knows that [] travelled to New York City, but does not think [] ever saw [] and knows he never travelled to Spain as there apparently was some problem in connection with the client. He did not know what transpired in New York City. In future contacts, [] told him that this deal was 99 percent sure, but it was just a matter of when and that he should be available.

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Sometime around the middle of [] possibly about [] called him and told him the deal was definitely on and for him to arrange to get [] to go to New York the following night to meet the client and thereafter fly to Santo Domingo for the transfer of the securities. When he informed [] of what [] wanted him to do, [] said he did not like the idea of going to New York, but that he would go to Santo Domingo. He recontacted [] who was in Anguilla at the time, and told him of [] desire. [] told him that would be satisfactory, but also asked if he could go to New York to be there to coordinate the deal between the clients in New York and [] in Grand Turk. He agreed to do so and around [] he and his wife travelled to New York City and stayed as a guest at []. While he was at [] telephonically contacted him, possibly from Anguilla, and informed him the deal was on and for him not to leave. Sometime later, [] again telephonically contacted him and told him to be in Port Au Prince Haiti on [] and for him to tell [] to charter a plane and be there also. [] also told him that he would be contacted at the house in [] (Last Name Unknown) and [] (Last Name Unknown) and that he was to go with one of them to LaGuardia Airport to pick up tickets for all of them to travel to Port Au Prince Haiti. [] also told him that they should not sit together on the plane. []

[redacted] gave him money to purchase the tickets and on Saturday night, [redacted] came to [redacted] house to pick him up. All he could recall about the car [redacted] and [redacted] were driving was that it was fairly old and was a dark color. On that Saturday night, he, [redacted] travelled to LaGuardia Airport where he purchased his ticket and gave money to [redacted] to purchase their tickets. Following the purchase of the tickets, he was taken back to [redacted] house. He could not recall the names given by [redacted] and JOE at the ticket counter.

The next morning, [redacted] drove him to the airport and he got on an American Airlines flight for Port Au Prince, Haiti, which flight departed New York City at approximately 10:00 A.M. and landed at Port Au Prince Haiti at approximately 2:00 P.M. He saw [redacted] on the same plane, but did not notice that they were with anyone else.

Upon arrival at the Customs section of the Airport in Port Au Prince Haiti, he saw [redacted] ahead of him in the line. He also saw that [redacted] and [redacted] were met by [redacted] and were both sent on ahead. [redacted] then met him upon his departure from the Customs area and told him that everyone was going up to a conference room upstairs and for him to have a drink at the bar. About three quarters of an hour later, he went up to the conference room, but he believed the only one there at that time was [redacted]. As best he could determine, his only function in this deal was to contact [redacted] and to arrange for the purchase of airline tickets for [redacted]. That night he stayed with [redacted] and [redacted] at the El Rancho in Port Au Prince, Haiti, while [redacted] travelled back to Grand Turk to put everything in motion concerning the sale of the securities. At this time, he did not know what type of securities were to be sold.

That night [redacted] told him that he would be handling all the money that [redacted] received as a result of the sale of securities at Merrill Lynch, Pierce, Fenner and Smith in Miami. [redacted] explained to him there

would be two amounts, one large amount and one lesser amount. He told him the larger amount would be going to him, while the smaller amount would be going to the people up north, meaning [redacted] (Last Name Unknown). At this time, also, [redacted] gave him a checkbook of the Oxford International Bank and Trust Company and he saw all the checks were signed [redacted] explained that he was to give two checks to [redacted] when [redacted] gave him the money and the checks would be made out in the larger and smaller amounts. At this time, he did not know how much money was to be involved in the transaction. [redacted] also told him at this time that [redacted] would be going to Miami Tuesday or Wednesday, and hopefully the transaction could be consummated on one of those days. [redacted] told him to go to Miami and wait until he was contacted by either himself or [redacted] When he located a place in Miami to stay, he was to notify [redacted] and wait there until contacted.

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He went to Miami, Florida and stayed at the Columbus Hotel on Biscayne Boulevard and thereafter contacted [redacted] to inform him where he was staying. On [redacted] while at the Columbus Hotel, he was contacted telephonically by [redacted] who informed him of the two amounts that were to be divided following the sale of the securities by [redacted] He did not recall the exact amounts but remembered the smaller of the two amounts was to go to New York, meaning [redacted] (Last Name Unknown) and the larger amount would be going south, meaning to [redacted] On this same day, he was also telephonically contacted by [redacted] (Last Name Unknown), who said to meet him at the Aztec Hotel on Miami Beach following the securing of the money from [redacted] At this time also, [redacted] telephonically contacted him and informed him he would be delayed and for him to meet [redacted] at the Southeast First National Bank off Biscayne Boulevard.

He then went to meet [redacted] at the Southeast First National Bank, at which time [redacted] gave him the lesser amount of money. He and [redacted] then drove to the Aztec Hotel on Miami Beach where he met [redacted] and another individual, whom he had not seen before. He

gave [] the money, following which they talked a bit and remembered that [] mentioned he always stayed at the Aztec when he was in Miami Beach. After leaving the Aztec, he and [] went to Fort Lauderdale, where they both stayed at the Marina Inn across from Pier 66. He called [] in Anguilla and informed him that they would be leaving for Grand Turk Friday morning and that he would conduct the banking business with [] at the OIBT. [] then told him to fly to Tortolla with the balance of the money.

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On Friday morning, [] he and [] flew in a plane chartered from [] to Grand Turk, after a refueling stop at South Caicos. Upon arrival in Grand Turk, he and [] went to the bank where they conducted their banking transaction involving the money. He gave [] two of the OIBT checks signed by [] and the checks were given to [] (Last Name Unknown), who wrote in the amounts of the transaction. Then he, [] flew to Tortolla, where they were met by [] at the airport. At this time, [] gave him the money and he put it in his bag and carried the bag over to [] plane, which was waiting, and placed the money in the plane. He, [] and [] then flew to St. Maarten on a chartered plane. Upon arrival in St. Maarten, [] got a cab and went to the town of Marigot where he was dropped at off a restaurant and told by [] to have a drink while [] went to see the client.

They were gone for approximately two hours and upon coming back, apologized to him for keeping him waiting and all three of them then went to a restaurant, had something to eat, and then all three travelled to Anguilla in a boat [] had waiting. He stayed in [] house on Anguilla until the following []. However, [] left the previously Monday for New York City and told him to wait until they came back. Sometime prior to [] called him and informed him [] could not return to Anguilla by Thursday and for him to go to Grand Turk and [] would be in touch with him there.

On [redacted] on previous instructions which he received telephonically from [redacted] he flew to New York City and went to [redacted] house and was told he would be contacted there by [redacted] (Last Name Unknown). That same night, at [redacted] instructions, he went to an airport in New York City where he purchased a ticket to Port Au Prince Haiti for [redacted]. At this time, also, he made a reservation in his name, which was supposed to be for [redacted].

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On the morning of [redacted] [redacted] drove him to the airport where he met [redacted] tried to get a ticket using the reservations that he [redacted] had, but was unable to do so, but, in any event, succeeded in obtaining a ticket as there was space available on the flight. The flight was the same American Airlines flight he had previously taken on [redacted] to Port Au Prince, Haiti.

Upon arrival at the airport in Port Au Prince, Haiti, he and [redacted] were met there by [redacted] and [redacted]. [redacted] and [redacted] went to a room somewhere in the airport and he was to wait in the airport until they returned. He had no knowledge of what took place in the room concerning the transfer of any bonds from [redacted]. A short time later [redacted] found him in the airport and told him to make reservations for him and [redacted] to go back to New York City. He did not know what happened in the room concerning any transfer of bonds from [redacted]. In any event, he and [redacted] travelled on the same flight back to New York City and upon arrival at the airport, he called [redacted] who picked him up a short time later. Someone else, he did not know who, picked up [redacted].

He went to [redacted] [redacted] and about a day later, [redacted] called him and informed him that [redacted] would come by the house to give him some bonds and wanted to know if he could take the bonds to Grand Turk. He told [redacted] that would be satisfactory and sometime later that evening, or possibly the next day, [redacted] delivered the bonds with the same individual who he saw with [redacted] at the [redacted].

3 } Probably
[redacted]

Aztec Motel. He then flew to Grand Turk where he met [] and gave him the bonds. [] made out a receipt for him to give to []. He and [] then flew on a charter plane to Miami and stayed at the Skyways Motel in the same room. [] had told him previously not to stay together. The next morning, Wednesday, [] went to Merrill Lynch while he remained at the Skyways Motel. While at the motel, [] telephonically contacted him and informed him this time there would be a three way split on the money that [] received from the sale of the bonds at Merrill Lynch. [] informed him there was a small amount, exact amount unrecalled, to be placed in a safe deposit box at the motel, which he was to rent. The next amount was to go north, meaning to [] and the larger amount was to go south, meaning to [].

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[] returned to the hotel room with the money later on that morning and after giving him the money, [] returned to the car. [] and the same individual he had seen with [] at the Aztec Motel, came to the hotel at about noon and he gave them the money he was supposed to give them. He then went to the safe deposit box he had previously rented and put the amount that was to be placed in the box, following which he and [] went to the Miami Airport, chartered a plane, and flew to Grand Turk where they went to the OIBT on Grand Turk. He gave the usual two checks to [] and he then flew on to St. Maarten on the same plane in which he arrived in Grand Turk.

When he landed on St. Maarten, [] met him and took the money. [] left him and [] at the Airport and came back about an hour later. [] then left in a small airplane for Anguilla. When he himself returned to Grand Turk, he was arrested and was able to give a friend his bag which contained the checkbook of [] and asked this friend to insure that [] got the checkbook.

He had no contact with [] until about ten days ago when [] contacted him at the home of [] his attorney. [] had previously been

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attempting to contact him at various places on the island, but he never returned [redacted] call. He said most of the conversation with [redacted] on this aforementioned call was concerning the Exchange National Trust and nothing was ever said concerning the stolen bonds, other than [redacted] mentioned he did not know the bonds were stolen and did not know which bond transaction was being talked about. He believes now that [redacted] was playing it "cozy" because there was a lot of money involved.

He described [redacted] (Last Name Unknown) as a white male, [redacted] years of age, [redacted] pounds, [redacted] hair, [redacted]

He described [redacted] (Last Name Unknown) as a white male, age [redacted] pounds, [redacted]

He described the individual he saw with [redacted] at the Aztec Motel on Miami Beach, as a white male, late [redacted] pounds, [redacted] hair, [redacted]

He described himself as being a white male, born [redacted]

29-15128 - 684

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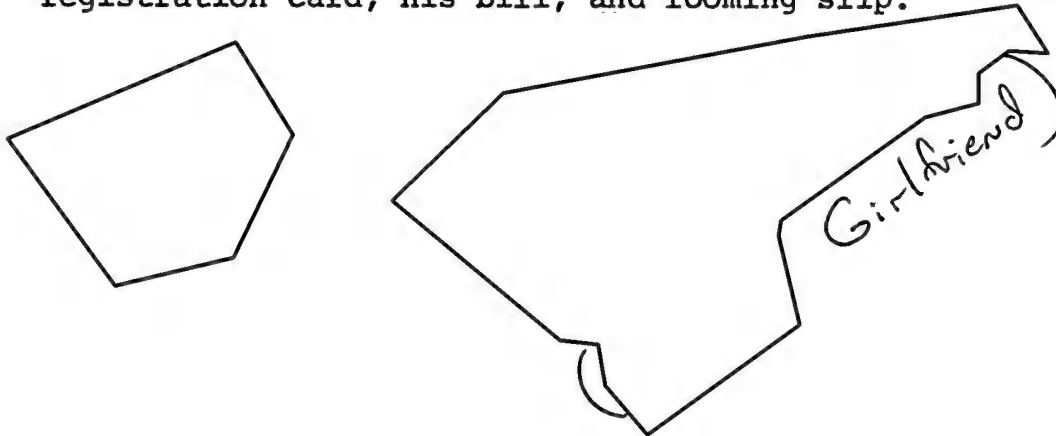
FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 3/8/79b6
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[redacted] Aztec Motel, 15901 Collins Avenue, advised the records of the motel show [redacted] checked into the motel on [redacted] and checked out on [redacted] used registration card number [redacted] and gave his address as [redacted] and home telephone number as [redacted] gave his driver's license number as [redacted] and changed his bill to Master Charge card number [redacted]

[redacted] said [redacted] occupied room 253 during his stay and that two telephone calls were made from his room on [redacted] [redacted] added that [redacted] rented a safe deposit box at the motel during his stay.

[redacted] made available one copy each of [redacted] registration card, his bill, and rooming slip.



Investigation on 2/27/79 at Miami Beach, Florida File # Miami 87-40083

by SA [redacted] :lsp Date dictated 3/3/79

29-15128-685

Jim Jim

Sam

FEDERAL BUREAU OF INVESTIGATION

1Date of transcription 3/8/79b6
b7C

A review of United States Customs Declaration Cards (Customs form 6059-B), at the United States Customs Office, Miami International Airport, for [redacted] for commercial flights from Port Au Prince, Haiti to Miami, Florida resulted in the location of a form showing the arrival of [redacted] on [redacted] is shown to have arrived on Air France, Flight 350. His date of birth is shown as [redacted] while his permanent address is shown as [redacted]
[redacted]

Also located was a form for [redacted] showing his arrival on the same flight on [redacted] [redacted] date of birth is shown as [redacted] while his permanent address is shown as [redacted]
[redacted]

It is noted that although [redacted] form is stamped [redacted] it was located in the packet of arrivals for Air France, flight 350 on [redacted]

Investigation on 2/27/79 at Miami, Florida File # Miami 87-40083

by SA [redacted] PRC:lsp Date dictated 3/2/79

TRANSMIT VIA: AIRTEL

CLASSIFICATION: UNCLAS

DATE: March 24, 1980

FROM: Director, FBI

✓ TO: SAC, New York (29-15128)

SUBJECT: [REDACTED] AND OTHERS, BANK FRAUD AND EMBEZZLEMENT,
INTERSTATE TRANSPORTATION OF STOLEN PROPERTY

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ReNYtel to the Director, 3/14/80.

Approval was obtained on 3/19/80 from [REDACTED]
[REDACTED] for the use of
a body recorder and/or transmitter on the person of Cooperating
Subject for a period of [REDACTED]
[REDACTED]

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Insure Bureau equipment is afforded appropriate
security and keep Bureau advised of pertinent developments.

You are requested to submit a letter at the completion
of the [REDACTED] authorizing use of the body recorder and/or
transmitter in this matter showing whether the body recorder:

[REDACTED]

Comment specifically concerning any of the above
points that are applicable.

G-2nd Tickle For 5/1/80
P

*1cc
66-11-69*

29-15128-686
SEARCHED INDEXED
SERIALIZED FILED
APR 26 1980
[REDACTED]

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Airtel to SAC, New York

RE: [REDACTED]

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In the event it will be necessary to renew this [REDACTED] request and justification should be submitted five work days prior to termination of your present authority.

b7D

In addition, you should insure that all persons identified as having been monitored, are suitably included in the field office and FBIHQ ELSUR indices, commensurate with existing instructions as outlined in Bureau airtels to all offices dated April 12, 1977, June 8, 1977, and October 21, 1977, entitled "Microphone and Telephone Surveillances." Strict administrative controls must be established to insure these requirements are met.

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date April 8, 1980

TO: ADIC, NEW YORK (29-15128) (M-12)

FROM: SAC, MIAMI (29-4093) (RUC)

[REDACTED]

ET AL
BF&E; ITSP; FBW (A)
(OO: NY)

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Re Miami let to New York, 10/18/79.

On 12/20/79, the bond certificates previously
made available by [REDACTED] Cayman
Islands Police, Grand Cayman, BWI, were returned to him.

2 - New York
1 - Miami
PRC/neb
(3)

29-15128-687

SERIALIZED	INDEXED
M-2	
APR 14 1980	
FBI - NEW YORK	

[REDACTED]

Approved: A7N/QR

Transmitted _____

(Number)

(Time)

Per _____

Place: New York

ITEM NO. _____

I certify that \$ amount was paid per attached
to
on 12/27/79 date in connection with an official investigation
entitled **ET AL"**

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b7E

Authority: Buairtel. 1/2/80

Period Covered: 12/27/79

Receipt Attached: ☒ Yes ☐ No Have payments been made by other Offices? ☐ Yes ☒ No
If Yes, list Offices under details.

Services: \$ _____

Expenses: \$ _____

Total: \$

Details:

Approved: 

Disapproved: ☐

Signed

Title

Approved ASAC

Special

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b7C

FBI/DOJ

**TRAVEL VOUCHER
MEMORANDUM**
(Read the Privacy Act
Statement on the back)

1. DEPARTMENT OR ESTABLISHMENT,
BUREAU DIVISION OR OFFICE

2. TYPE OF TRAVEL

- ☐ TEMPORARY DUTY
☐ PERMANENT CHANGE
OF STATION

3. VOUCHER NO.

4. SCHEDULE NO.

5. a. NAME (Last, first, middle initial)
DALSEG, JOHN W.

b. SOCIAL SECURITY NO.

6. PERIOD OF TRAVEL

a. FROM

b. TO

c. MAILING ADDRESS (Include ZIP Code)

Washington, D.C.

d. OFFICE TELEPHONE NO.

212-553-2700

7. TRAVEL AUTHORIZATION

a. NUMBER(S)

b. DATE(S)

271-62 6/1/62

e. PRESENT DUTY STATION
New York

f. RESIDENCE (City and State)
New York, New York

10. CHECK NO.

8. TRAVEL ADVANCE

a. Outstanding

b. Amount to be applied

c. Amount due Government
(Attached: ☐ Check ☐ Cash)

d. Balance outstanding

9. CASH PAYMENT RECEIPT

a. AMOUNT RECEIVED

b. AMOUNT RECEIVED
\$

c. PAYEE'S SIGNATURE

11. PAID BY

b7E

12. GOVERNMENT
TRANSPORTATION
REQUESTS, OR
TRANSPORTATION
TICKETS, IF PUR-
CHASED WITH CASH
(List by number below
and attach passenger
coupon; if cash is used
show claim on reverse
side.)

I hereby assign to the United States any right I may have against any parties in connection with reimbursable transportation charges described below, purchased under cash payment procedures (FPMR 101-7) ▶ Traveler's Initials

AGENT'S
VALUATION
OF TICKET

(a)

ISSUING
CAR-
RIER
(Initials)
(b)

MODE,
CLASS OF
SERVICE
AND ACCOM-
MODATIONS
(c)

DATE
ISSUED
(d)

POINTS OF TRAVEL

FROM

(e)

TO

(f)

13. I certify that this voucher is true and correct to the best of my knowledge and belief, and that payment or credit has not been received by me. When applicable, per diem claimed is based on the average cost of lodging incurred during the period covered by this voucher.

TRAVELER
SIGN HERE ▶

DATE **4.18.80** AMOUNT
CLAIMED ▶

\$

NOTE: Falsification of an item in an expense account works a forfeiture of claim (28 U.S.C. 2514) and may result in a fine of not more than \$10,000 or imprisonment for not more than 5 years or both (18 U.S.C. 287; i.d. 1001).

14. This voucher is approved. Long distance telephone calls, if any, are certified as necessary in the interest of the Government. (NOTE: If long distance telephone calls are included, the approving official must have been authorized in writing by the head of the department or agency to so certify (31 U.S.C. 680a).)

APPROVING
OFFICIAL
SIGN HERE ▶

DATE

17. FOR FINANCE OFFICE USE ONLY
COMPUTATION

a. DIFFER-
ENCES,
IF ANY
(Explain
and show
amount)

\$

15. LAST PRECEDING VOUCHER PAID UNDER SAME TRAVEL AUTHORIZATION

a. VOUCHER NO.

b. D.O. SYMBOL

c. MONTH &
YEAR

b. TOTAL VERIFIED CORRECT FOR
CHARGE TO APPROPRIATION

Certifier's initials:

\$

16. THIS VOUCHER IS CERTIFIED CORRECT AND PROPER FOR PAYMENT

AUTHORIZED
CERTIFYING
OFFICIAL
SIGN HERE ▶

DATE

c. APPLIED TO TRAVEL ADVANCE
(Appropriation symbol):

\$

d. NET TO TRAVELER ▶

\$

18. ACCOUNTING CLASSIFICATION

26 Federal Plaza
New York, New York 10007
December 27, 1979

[redacted]
New York, New York

Dear [redacted]

On behalf of this Office, and the entire FBI, I wish to extend our sincerest appreciation for your cooperation in a recent successful investigation which resulted in significant savings to the public as well as several financial institutions. Supervisor [redacted] is returning [redacted] of [redacted] funds which were provided by your office and utilized in this cooperative investigative effort.

b6
b7C
b7E

Please extend my appreciation to the officers of the bank for such strong show of support.

Sincerely,

NEIL J. WELCH
Assistant Director in Charge

Received From Supv. [redacted]
ck # 33479 drawn on [redacted] - Amount [redacted]
dtd 12/26/79 - For return of [redacted] used
in investigation of FBI

for

b6
b7C
b7E

[Redacted]

2

$\frac{1-12}{210}$

DECEMBER 26, 19 79 N^o 33479

Pay to the Order of [Redacted] ***** [Redacted] *****

[Redacted]

Dollars

[Redacted]

Official Check

Signature

WIRE TRANSFER FROM FBI WASH D.C.

[Redacted]

26 Federal Plaza
New York, New York 10007

APR 13 1980

United States Attorney
Southern District of New York
1 St. Andrews Place
New York, New York 10007

Attention: AUSA [REDACTED]

b6
b7C

Dear Sir:

This is to confirm a conversation on March 13, 1980, between Assistant United States Attorney (AUSA), [REDACTED] and Special Agent (SA) [REDACTED] Federal Bureau of Investigation (FBI), New York, New York.

In said conversation, AUSA [REDACTED] was advised by SA [REDACTED] that the FBI intended to utilize a consensual monitoring device on co-operating subject [REDACTED] in order to monitor and record private conversations [REDACTED]
[REDACTED]

b6
b7C
b7D

AUSA [REDACTED] advised SA [REDACTED] that it was his opinion utilization of consensual monitoring in this situation represented a legitimate investigative technique and would not in any way constitute entrapment of the subject.

Very truly yours,

NEIL J. WELCH
Assistant Director in Charge

1-Addressee
② New York (29-15128)

GAM:ma
(3)
MA

29-15128-691

b6
b7C

[REDACTED]

26 Federal Plaza
New York, New York 10007

APR 16, 1980

United States Attorney
Southern District of New York
1 St. Andrews Place
New York, New York 10007

Attention: AUSA [REDACTED]

b6
b7C

Dear Sir:

This is to confirm a conversation on March 18, 1980, between Assistant United States Attorney (AUSA), [REDACTED] Southern District of New York (SDNY) and Special Agent (SA) [REDACTED] Federal Bureau of Investigation (FBI), New York, New York.

In said conversation, AUSA [REDACTED] was advised by SA [REDACTED] that the FBI intended to utilize a consensual monitoring device on [REDACTED]
[REDACTED]

b6
b7C
b7D
b7E

AUSA [REDACTED] advised SA [REDACTED] that it was his opinion utilization of consensual monitoring in this situation represented a legitimate investigative technique and would not in any way constitute entrapment of the subject.

Very truly yours,

NEIL J. WELCH
Assistant Director in Charge

1-Addressee
②-New York (29-15128)

GAM:ma
(3) *ma*

29-15128-692
[REDACTED]

b6
b7C

26 Federal Plaza
New York, New York 10007

United States Attorney
Southern District of New York
1 St. Andrews Place
New York, New York 10007

Attention: AUSA [REDACTED]

b6
b7C

Dear Sir:

This is to confirm a conversation on January 17, 1980,
between Assistant United States Attorney (AUSA), [REDACTED]
and Special Agent (SA) [REDACTED] Federal Bureau of
Investigation (FBI), New York, New York.

In said conversation, AUSA [REDACTED] was advised by
SA [REDACTED] that the FBI intended to utilize a consensual
monitoring device on [REDACTED]

b6
b7C
b7D

AUSA [REDACTED] advised SA [REDACTED] that it was
his opinion utilization of consensual monitoring in this
situation represented a legitimate investigative technique
and would not in any way constitute entrapment of the subject.

Very truly yours,

NEIL J. WELCH
Assistant Director in Charge

b6
b7C

E-Addressee
New York (29-15128)

GAM:ma
(3) *ma*

29-15128-693
For File

[REDACTED]

UNITED STATES GOVERNMENT

Memorandum

TO : SAC [REDACTED]

DATE: APR 18 1980

b6
b7C
b7D
b7E

FROM : SA [REDACTED]

SUBJECT: [REDACTED]

Dates of Contact

3/5/80

File #s on which contacted (Use Titles if File #s not available)

Purpose and results of contact

- ☐ NEGATIVE
☒ POSITIVE
☐ STATISTIC

INFORMATION HEREIN OBTAINED CONFIDENTIALLY;
INFORMANT'S IDENTITY IS NOT TO BE DISCLOSED UNLESS
IT HAS BEEN DEFINITELY DECIDED THAT THIS PERSON WILL
TESTIFY.

THIS FD-209 SERVES AS A CHANNELIZATION COVER
SHEET ONLY. IT IS NOT TO BE FILED IN ANY SUBSTANTIVE
CASE FILE AND IS NOT TO BE INCLUDED IN ANY REPORT.
REMOVE PRIOR TO FILING ATTACHED INSERT.

b6
b7C

PERSONAL DATA

① - NY 29-15128 [REDACTED]; BF&E)

(2)

SEARCHED [REDACTED] INDEXED [REDACTED]
SERIALIZED [REDACTED] FILED [REDACTED]

APR 18 1980

FBI/DOJ

FBI-NEW YORK

b6
b7C

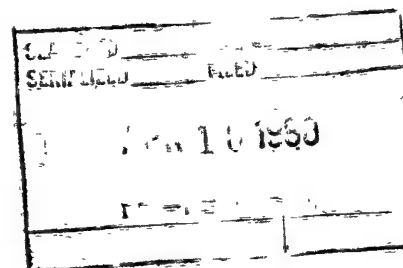
BF&E

NY 28-15128

On 3/5/80, [redacted] provided the following
information to SA [redacted]

b6
b7C
b7D
b7E

Source advised that the photograph displayed to him
was an individual known as [redacted]
[redacted]



STATISTICS LETTER

TO: DIRECTOR, FBI

Date: 4 / 22 / 80

FROM: SAC, NEW YORK

29	85152
Bureau File Number	

29	A* 15128
F.O. File Number	

SUBJECT:

ET AL
BF & E;
ITSP (A)
(OO:NY)

b6
b7c

<p>A. PRELIMINARY JUDICIAL PROCESS (No. of Subjects)</p> <p>1. <u>1</u> Complaint 2. <u>1</u> Information 3. <u> </u> True Bill 4. <u> </u> No Bill</p>	<p>D. ARRESTS / LOCATES / SUMMONS (No. of Subjects)</p> <p><u>FUGITIVE PRIORITY</u></p> <table style="width: 100%;"> <tr> <td style="text-align: center;"><u>A</u></td> <td style="text-align: center;"><u>B</u></td> <td style="text-align: center;"><u>C</u></td> <td></td> </tr> <tr> <td>1. <u> </u></td> <td>2. <u> </u></td> <td>3. <u> </u></td> <td>- FBI Arrests</td> </tr> <tr> <td>4. <u> </u></td> <td>5. <u> </u></td> <td>6. <u> </u></td> <td>- FBI Locates</td> </tr> <tr> <td colspan="3">7. <u> </u> Criminal Summons</td> <td></td> </tr> </table>	<u>A</u>	<u>B</u>	<u>C</u>		1. <u> </u>	2. <u> </u>	3. <u> </u>	- FBI Arrests	4. <u> </u>	5. <u> </u>	6. <u> </u>	- FBI Locates	7. <u> </u> Criminal Summons			
<u>A</u>	<u>B</u>	<u>C</u>															
1. <u> </u>	2. <u> </u>	3. <u> </u>	- FBI Arrests														
4. <u> </u>	5. <u> </u>	6. <u> </u>	- FBI Locates														
7. <u> </u> Criminal Summons																	
<p>B. FINAL JUDICIAL PROCESS (No. of Subjects)</p> <p>1. <u> </u> Pretrial Diversion 2. <u> </u> Dismissal 3. <u> </u> Acquittal 4. <u> </u> Conviction-Misdemeanor 5. <u> </u> No. of Counts 6. <u>1</u> Conviction-Felony 7. <u>1</u> No. of Counts</p>	<p>E. RECOVERY AND LOSS PREVENTED</p> <p>1. Recovery \$ <u> </u> 2. Potential Economic Loss Prevented \$ <u> </u></p>																
<p>C. SENTENCES (No. of Subjects)</p> <p>1. <u>1</u> Confinement 2. <u> </u> Probation 3. <u> </u> Suspended 4. <u> </u> Fine 5. \$ <u> </u> Amount of Fine</p>	<p>F. CIVIL MATTERS</p> <p><u>Government Defendant</u></p> <p>1. Amount of Suit \$ <u> </u> 2. Settlement or Award \$ <u> </u></p> <p><u>Government Plaintiff</u></p> <p>3. Amount of Suit \$ <u> </u> 4. Settlement or Award \$ <u> </u></p>																

REMARKS: Subject, aka, convicted on one (1) count for violation of Title 18, Section 371. Sentenced to three (3) years custody of the Attorney General.

- 1 - Bureau
2 - New York
 (1) NY 29-15128
 (1 - OSM 66-8492)
1 - Supv.
GAM:nsw
(4)

* Include subclass letter if applicable.

29-15128-695

Fin Fin

JEL

INSTRUCTIONS

(1) Submit an original only of the FD-515 within 30 days of a recordable accomplishment. Submission of each statistic should not be delayed awaiting the accumulation of several statistics.

(2) The date the FD-515 is submitted must be shown.

(3) The field office file number and appropriate subclassification, if applicable, must be set forth.

(4) Category A - **"PRELIMINARY JUDICIAL PROCESS"** - This indicates the number of subjects against whom judicial action has taken place. The number of subjects must be indicated with a numerical entry and not with the letter "X" or a check mark.

FOR EXAMPLE: If 4 subjects have 10 Bills of Indictment returned against them, enter 4 on line 3.

(5) Category B - **"FINAL JUDICIAL PROCESS"** - This, again, indicates the number of subjects and the number of counts or violations for which the subject is convicted. The number of counts shown on lines 5 and 7 must equal or exceed the number of subjects indicated on lines 4 and 6, never be less. Category 4 and 5 or 6 and 7 cannot be claimed prior to sentencing.

FOR EXAMPLE: If a subject is convicted for multiple counts in a single indictment or is convicted on a number of individual indictments, the entry in either case will be one subject convicted.

(6) Category C - **"SENTENCES"** - Indicate only the number of subjects and where a combination of sentences are imposed, enter only the most severe sentence. The number of subjects cannot exceed the number of subjects indicated in Category B, lines 4 and 6. The amount of the fine (line 5), if any, is always claimed in conjunction with either lines 1, 2, 3, or 4.

(7) Category D - **"ARRESTS/LOCATES/SUMMONS"** - Indicate the number of subjects under designated priorities.

(8) Category E - **"RECOVERY AND LOSS PREVENTED"** - Recoveries - When stolen or illegally possessed items with intrinsic value are recovered as a part of an FBI investigation, the value of the item should be recorded. Restitutions will be recorded in this category when ordered as part of a sentence.

Potential Economic Loss Prevented: - Record items such as counterfeit securities, money orders, Cashier Checks, Travelers Checks, and pirated copyright and patented material.

A justification airtel must be submitted with the FD-515 as an enclosure when the recovery or potential economic loss prevented exceeds \$1,000,000.

(9) Category F - **"CIVIL MATTERS"** - To record an entry in this category, it is necessary to conduct actual investigation in the matter. Both the original amount sought and the damages awarded by the court are to be recorded under amount of suit and settlement or award. Therefore, if numbers 1 or 3 are completed, it is necessary that number 2 and 4 also be completed even if by zero. The only exception would be when monies are paid by the subject in Ascertaining Financial Ability Matters wherein it is not necessary to record an entry in F3.

(10) **"REMARKS"** - Identify the name of the subject(s) and when reporting a conviction identify the Title, Section, and Code applicable to each count convicted.

(11) **Errors** - If a previously submitted FD-515 is determined to contain an error after verifying the statistic on the Monthly Statistical Results Report, submit an original only of the FD-515 clearly marking the top of the form in red ink with the word **"AMENDED"**. Other than the title, FBIHQ file number (if known), field office file number, and date, only complete the category being corrected by a numerical entry representing the appropriate decrease or increase. If this entry represents a decrease, insert a minus "-" sign and if an increase, insert a plus "+" sign directly to the left of the numerical entries which will signify the type of correction.

AIRTEL

4/18/80

TO: SAC, PITTSBURGH (12-47)

FROM: ADIC, NEW YORK (12-87) (#11) (RUC)

SUBJECT: [REDACTED]
NARCOTICS MATTER

RePGairtel to NY, dated 3/24/80.

b6
b7c

A search of NYO indices revealed NYfile 29-15128 entitled, [REDACTED] ET AL. (OO: NY)", in which a reference is made to [REDACTED]. The following background information is being furnished regarding [REDACTED]

In the fall of 1977, MICHAEL RAYMOND, aka Michael Burnett, a well-known international swindler and con artist, who is a subject in above captioned case, obtained through organized crime sources in NY 1.5 million dollars in stolen bearer securities stolen from the brokerage firm of Bachs and Company, NY, NY, earlier this year. These securities were received by RAYMOND via a courier in the Bahamas, where they were temporarily placed in safety deposit boxes until he was ready to negotiate them.

Through [REDACTED] BURNETT was introduced to two Bahamian attorneys [REDACTED] and [REDACTED] introduced BURNETT to [REDACTED]. Through [REDACTED] BURNETT succeeded in negotiating the 1.5 million dollars in stolen securities, arranging for the proceeds of

2-Pittsburgh

① New York (29-15128) M-2

② New York

PLS:jmh

(5)

① Supervisor #11

29-15128-696

WJK

[REDACTED]

JAN


NY 12-87

the securities to be transferred via Barclays Bank of the Bahamas, to a corresponding account at the Swiss Bank Corporation in Switzerland. The funds received in Switzerland were immediately transferred to an anonymous ANSTALT account in Luxemburg named Kritaube. [REDACTED]
[REDACTED]
[REDACTED]

It is to be noted BURNETT has FBI # 291-498C. Other aliases utilized by BURNETT are Paul Fenton, Edward Lisky and Myron Herbert Solnet. [REDACTED]
[REDACTED]
[REDACTED]

b3
b6
b7C
b7D

[REDACTED] appeared before the FGJ, SDNY, on 2/5/79. At this time he resided at [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]



Dr

[illegible]

Donaldson, Lufkin & Jenrette

Donaldson, Lufkin & Jenrette Securities Corporation - 140 Broadway, New York, N.Y. 10005 (212) 943-0300

Joseph D. Donnelly, Esq.
Vice President &
Director of Compliance

April 28, 1980

Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10007

Attn:

Section M-2

b6
b7c

Gentlemen:

I am writing with respect to \$20,000 face amount of FT. Smith, Arkansas 5.70% due 10/1/1999 bonds which are among certain bonds currently in the possession of the Bureau.

As you know, these bonds had been sold by this firm to UMIC Investment Company, Memphis, Tennessee who had in turn sold them to a customer of UMIC. We have been unable to effect delivery to UMIC and they have similarly been unable to deliver to their customer because the bonds are being held by the Bureau as possible evidence in a criminal matter.

We have secured the agreement of UMIC and they of their customer to wait until at least July 31, 1980 for delivery. We would appreciate a letter from the Bureau addressed jointly to UMIC and us setting forth the following:

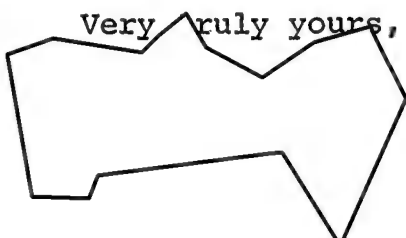
1. That the bonds in question are in fact being held by the Bureau;
2. That the Bureau requires their continued possession;
3. That all coupons redeemable on and after April 1, 1980 are and will continue to be attached; and
4. That, unless criminal proceedings are commenced prior to July 31, 1980 which proceedings require the use of the bonds as evidence, the bonds will be delivered by the Bureau to this firm, attention

I trust the above conforms to our agreement and I anticipate the prompt issuance of the above mentioned letter.

Very truly yours,

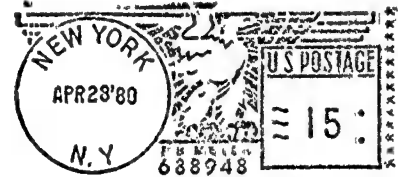
pmb

cc: UMIC Investment Co.



Donaldson, Lufkin & Jenrette

140 Broadway, New York, New York 10005



Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10007

b6
b7C

Attn:

Section M-2

MM0020 1282334Z

RR NY

DE MM

R 08 2230Z MAY 80

FM MIAMI (87-3556) P

TO NEW YORK (87-80711) ROUTINE

BT

UNCLAS

MAX E. BUFFARD, AKA - FUGITIVE; MICHAEL RAYMOND, AKA ROBERT; ROBERT DELL, AKA; ITSP (D); BF&E OO: MIAMI.

RE MIAMI TELETYPE TO NEW YORK DATED 2/4/80.

IT IS REQUESTED NEW YORK FURNISH RESULTS OF RAYMOND'S INTERVIEW REQUESTED IN REFERENCED TELETYPE BY MIAMI DIVISION.

AS MENTIONED IN REFERENCED TELETYPE RAYMOND WILL BE CONSIDERED A PRIME SUSPECT IN DISAPPEARANCE OF MACK E. BUFFARD.

b6
b7C

BT

29-15128-
87-80711-698

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 7 1980	
FBI-NEW YORK	

11-2

29-15128 - 699

SEARCHED	INDEXED
SERIALIZED	FILED
FBI - NEW YORK	
JUN 10 1969	
[Signature]	

Q

8

26 Federal Plaza
New York, New York 10278
May 19, 1980

Donaldson, Lufkin and Jenrette
Securities Corporation
140 Broadway
New York, New York 10005

Attention: [REDACTED] Esquire

Gentlemen:

This is in reference to your letter, dated April 28, 1980, in which you requested specific information concerning the disposition of \$20,000.00 in Ft. Smith, Arkansas, 5.7 per cent due October 1, 1999 bonds, recovered by the Federal Bureau of Investigation (FBI) in December, 1979.

In view of the potential evidentiary value of the bonds in question, which have, in fact, been in the continued custody of the FBI since December, 1979, it is the opinion of this office as well as that of the United States Attorney's Office, Southern District of New York (SDNY), that the bonds in question should remain in our custody for at least an additional sixty to ninety days.

The investigation into the theft of these bonds is ongoing. Although it is difficult to determine with absolute certainty, the events that will occur in the future with regards to the investigation, please accept our assurances of the following:

2-Addressee

1-USA, SDNY (Attn: AUSA [REDACTED])

1-Umic Investment Company (Attn: [REDACTED])

1-New York

GAM:cas

(5)

(111-2)

85121-PS

1.) Unless criminal proceedings are commenced prior to August 31, 1980, which proceedings require the use of the bonds as evidence, the bonds will be returned by the Bureau to Donaldson, Lufkin and Jenrette.

2.) All coupons redeemable on and after April 1, 1980, are and will continue to be attached.

Your continued cooperation in this matter is appreciated.

Very truly yours,

NEIL J. WELCH
Assistant Director in Charge.

X AIRTEL

5/21/80

TO: DIRECTOR, FBI (29-85152)
FROM: ADIC, NEW YORK (29-15128) (P) (M-2)

SUBJECT: [REDACTED]

ET AL
BF&E;
ITSP (A)
(OO:NY)

b6
b7C

ReNYtel to Bureau, dated 3/19/80.

For information of the Bureau, oral authorization furnished to New York by the Bureau pursuant to referenced teletype to utilize an electronic device to monitor and/or record conversations between [REDACTED]

[REDACTED] was not used. It should be noted that a Bureau airtel setting forth official authorization in writing was never received by New York.

b6
b7C
b7D
b7E

During the period of authorization, [REDACTED]

[REDACTED] attempted to arrange a meeting with [REDACTED] has been unresponsive. Bureau should note [REDACTED] is currently [REDACTED]

[REDACTED] and it is therefore probable that [REDACTED] does not wish to associate with [REDACTED]

2-Bureau
1-New York

GAM:mc
(4)

mc
(m-2)

1-Supv. M-2

29-15128-700

b6
b7C

NY 29-15128

Although New York has not dismissed the possibility of pursuing this aspect of the investigation at some future date, it is the opinion of New York that at this particular time any attempts to continue with the original plan would be unproductive. Therefore, New York will set aside this aspect of the investigation.

AUSA SDNY, concurs.

b6
b7C

X AIRTEL

6/4/60

TO: DIRECTOR, FBI (29-35152)
(ATTN: IDENTIFICATION DIVISION,
LATENT FINGERPRINT SECTION,
LF FILE #B-56372)

FROM: ADIC, NEW YORK (29-15128) (P) (M-2)

SUBJECT:

ET AL
EF&E;
ITSP
(OO:NY)

b6
b7C

ReNYairtel to Bureau, dated 2/1/60, and report
of ID/LFS, dated 2/19/60.

Enclosed for ID/LFS, under separate cover, is one
box containing two separate packages of stolen securities
(Bearer Bonds) enumerated as follows;

Package #1

<u>Name</u>	<u>Face Value</u>	<u>Serial Number</u>
Puerto Rico Adeduct and Sewer Authority	\$1,000.00	5003
"	"	5219
"	"	5220
"	"	5221
"	"	5222

- 4 - Bureau
 (1-ID/LFS)
 (1-Package)
1 - New York (65-2041 Sub A)
① - New York

GAM:dap
(7)

1 - Supervisor M-2

29-15128-701

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 4 1960	
FBI - NEW YORK	

NY 29-15128

<u>Name</u>	<u>Face Value</u>	<u>Serial Number</u>
Puerto Rico Adueduct and Sewer Authority	\$1,000.00	5223
"	"	5224
"	"	5225
"	"	5292
"	"	5293
"	"	5572
"	"	5807
"	"	6560
"	"	6927
"	"	6928
"	"	6929
"	"	6931
"	"	6932
"	"	6933
"	"	6934
"	"	6936
"	"	6937
"	"	6944
"	"	6946
"	"	6947
"	"	6948
"	"	6949
"	"	7049
"	"	7050
"	"	7051
"	"	7584
"	"	7585
"	"	7908
"	"	7909
"	"	8950
"	"	8951
"	"	8952
"	"	8953
"	"	9342

NY 29-15128

<u>Name</u>	<u>Face Value</u>	<u>Serial Number</u>
Puerto Rico Adeduct and Sewer Authority	\$1,000.00	9700
"	"	9701
"	"	9702
"	"	9703
"	"	9704
"	"	9705
"	"	9706
"	"	9707
"	"	9818
"	"	11008
 Boston and Maine Railroad	 \$1,000.00	 M 2817
"	"	2838
"	"	2914
"	"	3007
"	"	3008
"	"	3041
"	"	3042
"	"	3094
"	"	3189
"	"	3219
"	"	3227
"	"	3228
"	"	3256
"	"	3257
"	"	3271
"	"	3307
"	"	3340
"	"	3343
"	"	3365
"	"	3368
"	"	3369
"	"	3410

NY 29-15128

<u>Name</u>	<u>Face Value</u>	<u>Serial Number</u>
Boston and Maine Railroad	\$1,000.00	29170
"	"	29171
"	"	29963
Fairfax County (Virginia) Water Authority	\$5,000.00	3080
"	"	3081
"	"	3082
"	"	3083
City of Elkhart (Indiana)	\$1,000.00	3491
"	"	3492
"	"	3493
"	"	3494
"	"	3495
City of Bloomington (Indiana)	\$1,000.00	1723
"	"	1724
"	"	1725
State of Ohio Higher Education Facilities	\$5,000.00	7009
"	"	7010
"	"	7011
"	"	7012
Department of Water and Power of City of LA	\$5,000.00	3028
"	"	3029
"	"	3030
"	"	3031

NY 29-15128

<u>Name</u>	<u>Face Value</u>	<u>Serial Number</u>
Department of Water and Power of City of LA	\$5,000.00	4907
"	"	4908
"	"	4909
"	"	4910
City of Redding (California)	\$5,000.00	A324
"	"	325
"	"	326
"	"	327
City of Baltimore (Maryland)	\$5,000.00	2341
"	"	2342
"	"	2343
"	"	2344
State of Connecticut Water Pollution Control	\$5,000.00	2574
"	"	2575
"	"	2576
"	"	2577
State of California City of San Jose Redevelopment	\$5,000.00	3130
"	"	3131
"	"	3160
"	"	3161
State of Alaska, 1977 Series B	\$5,000.00	B 4918
"	"	4919
"	"	4920
"	"	4921

NY 29-15128

<u>Name</u>	<u>Face Value</u>	<u>Serial Number</u>
Riverside (California)		
Civic Center Authority	\$5,000.00	704
"	"	705
"	"	706
"	"	707
City of Ft. Smith (Arkansas)	\$5,000.00	1964
"	"	1965
"	"	1966
"	"	1967
State of Oregon Veterans Welfare	\$5,000.00	750234
"	"	750235
"	"	750236
"	"	750237
<u>Package #2</u>		
Reinelsbe Union	\$1,000.00	M23502
Erie County Hospital Authority	\$5,000.00	A2360
Athlone Industries, Inc.	\$3,500.00	RB62535
Sehlumberger Limited 100 shares		M670264
Chicago, Milwaukee, St. Paul, and Pacific Railroad Company	\$1,000.00	1130
"	"	12055
"	"	14523
"	"	23236
"	"	23237
"	"	29247

NY 29-15128

<u>Name</u>	<u>Face Value</u>	<u>Serial Number</u>
Chicago, Milwaukee, St. Paul and Pacific Railroad Company	\$1,000.00	62107
"	"	62108
"	"	62109

Also enclosed for ID/LFS as part of package #1 are three transmittal letters, Numbers 11041, 11045, and 11046, of the First National Bank of Elkhart.

For information of the Bureau and ID/LFS, enclosed bonds were recovered at time of arrest of subject [redacted] aka [redacted]

Bonds have been determined to be the property of NY brokerage firm, Donaldson, Lufkin, and Genrette, NY, NY.

b6
b7C

Inasmuch as these bonds were stolen en route to several customers of the brokerage firm, possibility exists that the government may be compelled by court order to return some of these bonds to the brokerage firm unless it can be shown that there is a substantial necessity to maintain the bonds.

In anticipation of this action by the brokerage firm, U.S. Attorney's Office, SDNY, has requested that these bonds be analyzed for the existence of prints and be clearly photographed (front and back). AUSA [redacted] SDNY, has advised that under the circumstances, clear color photographs of each bond should suffice as a replacement of any of the enclosed bonds which the FBI might be compelled to return.

NY 29-15128

REQUESTS OF ID/LFS

1. Will analyze bonds in packages #1 and #2 for the existence of latent fingerprints.

2. Will compare prints obtained from these bonds with the prints of all names previously submitted under this Latent Case Number.

3. Upon completion of analysis, will furnish NY with color photographs of only the bonds enclosed in package #1. Photographs should include both front and back of each bond.

X AIRTEL

6/4/80

TO: DIRECTOR, FBI (29-85152)

FROM: ADIC, NEW YORK (29-15128) (P) (M-2)

SUBJECT: [REDACTED]

ET AL
BF&E;
ITSP (A)
(OO:NY)

b6
b7C

ReBuairtel to New York, dated 3/24/80.

For information of the Bureau, authorization for use of a body recorder and/or transmitter [REDACTED] as set forth in referenced airtel was not used.

During the period of authorization, [REDACTED] on numerous occasions have attempted to arrange meetings with [REDACTED] has been unresponsive. Bureau should note that [REDACTED] is currently [REDACTED]

b6
b7C
b7D
b7E

[REDACTED] and it is therefore probable that [REDACTED] does not wish to associate with [REDACTED]

2 - Bureau
① - New York

GAM:dap
(4)

208 M-2

1 - Supervisor M-2

29-15128-702

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 4 1980	
FBI - NEW YORK	

[REDACTED]

b6
b7C

FBI

TRANSMIT VIA:

☐ Teletype☐ Facsimile☒ AIRTEL

PRECEDENCE:

☐ Immediate☐ Priority☐ Routine

CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☐ UNCLAS E F T O☐ UNCLAS

Date 6/9/80

TO: ADIC, NEW YORK (29-15128) (M-2)

FROM: SAC, TAMPA (196-43) (P)

SUBJECT: MICHAEL RAYMOND aka
ITSP; FBW (A)[REDACTED]
ET AL
B F AND E; ITSP (A)
(OO: NY)ReCGairtel to NY, 6/28/79, NY airtel to CG, 7/6/79,
and TP airtel to New York, 9/13/79.Investigation from Chicago and New York Divisions
discussed with AUSA [REDACTED] MDF, Orlando, Florida,
during 8/17/79 and [REDACTED] requested interview securing
additional details concerning [REDACTED]
[REDACTED]LEADSNEW YORK DIVISIONAT NEW YORK, NEW YORKIn view of the prosecutive status of this matter in
the Southern District of New York, [REDACTED]
[REDACTED] advise Tampa Division as to the availability of elicited2-New York
2-Tampa
RLW:pjl
(4)29-15128-703
SEARCHED INDEXED
SERIALIZED FILED

JUN 16 1980

Approved: _____

Transmitted _____
(Number)b6
b7Cb6
b7C
b7D
b7Eb6
b7C

TP 196-43

b6
b7C
b7D
b7E

interview details from [] as pertains to []
[]

Apprise the Tampa Division of the current prosecutive status of [] in order that AUSA [] may evaluate the potential prosecutive action in the Tampa Division.

AIRTEL

6/18/80

TO: SAC, TAMPA (196-43)

FROM: ADIC, NEW YORK (29-15128) (P) (M-2)

SUBJECT: [REDACTED]

b6
b7C

ET AL
BE&E;
ITSP (A)
(OO:NY)

MICHAEL RAYMOND, aka;
ET AL
ITSP;
FBW (A)
(OO:TP)

ReTPairtel to New York, dated 6/9/80.

For information of Tampa, [REDACTED]

b6
b7C
b7D
b7E

It should be noted that [REDACTED]

2-Tampa
1-New York

GAM:mc
(4)

1-Supv. M-2

29-15128-704
[Handwritten signature]

NY 29-15128

[redacted]
[redacted]
[redacted] agreed to plead in the SDNY to two five-year felonies.

b6
b7C
b7D
b7E

On 6/16/80, requests of Tampa Division, as set forth in referenced airtel were discussed with AUSA [redacted] SDNY. [redacted] requested that he be contacted by AUSA [redacted] at area code [redacted] before any prosecutive action is taken by MDF against [redacted]

LEAD

TAMPA

AT ORLANDO, FLORIDA. Will discuss facts set forth with AUSA [redacted] and advise him of request of AUSA [redacted]

b6
b7C

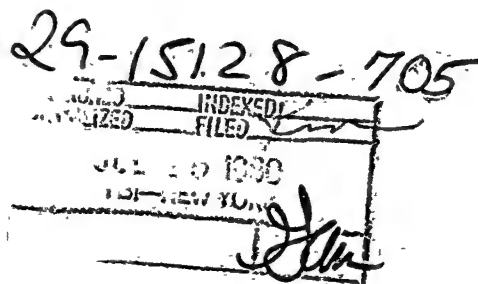
Jan
29-15128

On [redacted] provided the following information to SA [redacted]

b6
b7C
b7D
b7E

Source advised that he had been contacted by [redacted] (Last Name Unknown-LNU), [redacted] is probably [redacted] but source advised that he had no idea what [redacted] wanted. Source stated that [redacted] probably was trying to reach [redacted] also known as [redacted] had probably recently been in touch with [redacted] wanted to obtain [redacted] [redacted] had approached source to obtain this [redacted] Source advised that he had placed a telephone call to [redacted] awaiting a return call from [redacted] concerning a meeting to provide [redacted]

THE ABOVE INFORMATION IS SINGULAR IN NATURE AND SHOULD NOT BE DISSEMINATED OUTSIDE THE BUREAU.



MM0318 1982342Z

RR NY

DE MM

R 162342Z JUL 82

FM MIAMI (87-35596) (P)

TO NEW YORK (27-80711) ROUTINE

BT

UNCLAS

MAX E. BUSSARD, AKA - FUGITIVE; ET AL; ITSP (D); DF&E, OO: MIAMI.

RE MM TEL TO NEW YORK, MAY 7, 1980.

NEW YORK PLEASE FURNISH RESULTS OF RAYMOND INTERVIEW, AND IF
SUCCESSFUL IN HIS VOLUNTEERING FOR POLYGRAPH IN CONNECTION WITH
BUSSARD'S DISAPPEARANCE.

BT

b6
b7C

87-80 [REDACTED]
29-15728-706

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 16 1980	
FBI-NEW YORK	

7/16/82

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS 7/30/80

Date _____

FM NEW YORK (29-15128) (87-82711) (P) (M-2)

TO ~~DIRECTOR (29-85152) (87-15117)~~ ROUTINE

BT

U N C L A S

[REDACTED] ET AL; BF&E; ITSP (A); OO: NEW YORK.

[REDACTED] ET AL; ITSP (D); OO: NEW YORK.

RENYTEL TO BUREAU, DATED MARCH 18, 1980.

AUTHORITY IS REQUESTED TO UTILIZE AN ELECTRONIC DEVICE ON

[REDACTED]
AND/OR RECORD PRIVATE CONVERSATIONS BETWEEN [REDACTED]
[REDACTED]

IT IS FURTHER REQUESTED THAT AUTHORIZATION BE MADE EFFECTIVE FOR

[REDACTED]
FOR INFORMATION OF THE BUREAU, [REDACTED] AND

[REDACTED] KNOWN TO THE NYO WITH REGARDS TO STOLEN SECURITIES
 MATTERS. IN ADDITION TO [REDACTED] OTHER KNOWN CLOSE ASSOCIATES
 OF [REDACTED] ARE [REDACTED]

[REDACTED] AND [REDACTED] SUBJECTS OF CASE CAPTIONED

①-NEW YORK
 1-SUPV. M-2
 1-PROGRAMMANAGER, ADIC

GAM:meh
 (3) net M-2

Approved: [Signature]

Transmitted [Signature]

(Number)

(Time)

U.S. GOVERNMENT PRINTING OFFICE

7/5402

b6
b7Cb6
b7C
b7Db6
b7C

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

PAGE TWO U N C L A S

[REDACTED] ET AL. [REDACTED] ARE BELIEVED
TO BE INTEGRALLY INVOLVED WITH CAPTIONED 1.5 MILLION DOLLAR
THEFT OF SECURITIES FROM BACHE, HALSEY, STUART, AND SHIELDS,

[REDACTED]
IN ADDITION TO THE THEFT FROM BACHE AND CO., [REDACTED]
[REDACTED] ARE ALSO KNOWN TO HAVE BEEN THE SOURCES
OF STOLEN SECURITIES IN THE MIAMI CASE, MM 87-40083, CAPTIONED
[REDACTED] ET AL, INVOLVING THE THEFT (AND
SUCCESSFUL NEGOTIATION) OF APPROXIMATELY TWO MILLION DOLLARS
IN SECURITIES FROM MERRILL LYNCH AND CO.

FURTHERMORE [REDACTED] IS ALSO INVOLVED IN NEW YORK CASE
87-82584, ENTITLED [REDACTED] AKA, ET AL; THEFT OF
TWO MILLION DOLLARS IN SECURITIES FROM U.S. TRUST COMPANY,
NEW YORK, NEW YORK. [REDACTED]

[REDACTED] CAUSED \$800,000.00 OF
THESE STOLEN SECURITIES TO BE SEND TO LOS ANGELES FOR NEGOTI-
ATION. THESE SECURITES WERE INTERCEPTED BY FBI LOS ANGELES
PRIOR TO NEGOTIATIONS. THERE ARE STILL ONE MILLION DOLLARS
WORTH OF THESE SECURITIES OUTSTANDING TO WHICH [REDACTED] MAY HAVE
ACCESS.

b6
b7C
b7D

Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

PAGE THREE U N C L A S

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

ON JULY 24, 1980, FACTS IN THIS MATTER WERE FULLY DISCUSSED
WITH AUSAS [REDACTED] AND [REDACTED] SDNY. AUSAS [REDACTED]
[REDACTED] HAVE ADVISED THAT THEY FULLY CONCUR WITH THE MERITS
OF THE PROPOSED CONSENSUAL MONITORING OPERATION [REDACTED]
FURTHERMORE, BOTH AUSAS HAVE ADVISED NO PROBLEMS WITH ENTRAPMENT
EXIST.

b6
b7C
b7D

Approved: _____ Transmitted _____ Per _____

(Number) (Time)

★ U.S. GOVERNMENT PRINTING OFFICE: 1980-305-750/5402

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

PAGE FOUR U N C L A S

APPROPRIATE CONSensual MONITORING WAIVER FORMS WILL BE
EXECUTED BY

MEETINGS ANTICIPATED TO BEGIN SOMETIME SUBSEQUENT TO
AUGUST 18, 1980.

BT

#

b6
b7C
b7D

Approved: _____ Transmitted _____ (Number) (Time) Per _____

UNITED STATES GOVERNMENT

Memorandum

TO : ADIC
(ATTN: SURVEILLANCE
PROGRAM MANAGER) (NY 66-8661 SUB A)

DATE: 7/29/80

FROM : SUPERVISOR M-2 FILE # 29-15128

SUBJECT: REQUEST FOR SURVEILLANCE ASSISTANCE

Title of Priority I
Investigative Matter:

[Redacted]

et al.

Case Agent:

[Redacted]

Number of Surveillance
Agents Required:

b6
b7C
b7D

Anticipated duration of
Assistance:

[Redacted]

Specialized Surveillance Equipment Required
(photo, aerial, etc.), if any: _____

General Location of Surveillance
(County/Town):

[Redacted]

Brief Narrative of Case/Targets and Purposes of Surveillance:

(SEE ATTACHED)

For Surveillance Coordination Use: _____

- 2-Surveillance Program Manager
- 1-SAC II (Attn: Surveillance Supervisor)
- 1-SAC NR (Attn: Surveillance Supervisor)
- 1-SAC BQ (Attn: Surveillance Supervisor)
- 1-Case File



JKK:kc
(6)

Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

29-15128-709

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
AUG 04 1980	
FBI - NEW YORK	

[Signature]

Background

Captioned investigation involves members of a highly sophisticated criminal organization responsible for the sale and distribution of hundreds of millions of dollars worth of stolen securities on both a National and International level.

Purpose of Surveillance

The purpose of the requested surveillance is two-fold:

1. To better identify the subjects and their possible associates who will take part in the preliminary negotiations with the cooperating subject as well as all motor vehicles they might utilize.

2. In order to afford the cooperating subject the utmost protection during these meetings and preliminary negotiations it is imperative that the most recent descriptive data regarding the subjects and any associates be at the disposal of M-2.

In approximately two to three weeks an operation involving the use of a cooperating subject will be undertaken by M-2 in which the subjects (described infra.) will be targeted. Closely monitored meetings regarding stolen securities negotiations will occur. Subjects in this matter have a history of carrying weapons which they use to threaten and coerce the various individuals with whom they have done business.

Clear and recent surveillance photos of subjects and all possible associates are, therefore, necessary.

Targets

Name
aka

Address

(Address listed to
 believed to be

b6
b7c

Sex
Race
DOB
Height
Weight

Male
White
[redacted]
5'6"
140 pounds

b6
b7C

Name
aka
Address

[redacted]

(address listed to [redacted]
[redacted] believed to be

[redacted]

(believed to be address of
either [redacted]

[redacted] or [redacted]
[redacted]

Sex
Race
DOB
Height
Weight

Male
White
[redacted]
6'
200 pounds

FBI

TRANSMIT VIA:

☒ Teletype☐ Facsimile☐ _____

PRECEDENCE:

☐ Immediate☐ Priority☒ Routine

CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☐ UNCLAS E F T O☒ UNCLAS

Date 8/4/80

24

FM NEW YORK (29-15128) (P) (M-2)

~~MIAMI~~ (87-35596) ROUTINE

BT

U N C L A S

MAX E. BUSSARD, AKA - FUGITIVE; ET AL; ITSP (D), OO: MIAMI.

 ET AL; BF&E; ITSP (A); OO: NEW YORK.b6
b7C

REMMTELS TO NEW YORK, DATED MAY 7, 1980 AND JULY 16, 1980.

ON JULY 25, 1980, MICHAEL RAYMOND INTERVIEWED AT OFFICE OF AUSA SDNY. RAYMOND ADVISED HE HAS NOTHING MORE TO ADD TO THE STATEMENT HE FURNISHED TO THE FBI IN FLORIDA APPROXIMATELY THREE YEARS AGO REGARDING THE DISAPPEARANCE OF MAX BUSSARD.

RAYMOND FURTHER STATED THAT HE MIGHT BE WILLING TO TAKE A POLYGRAPH BUT THAT THAT WOULD HAVE TO BE ARRANGED THROUGH HIS ATTORNEY UNDER CLOSELY CONTROLLED CIRCUMSTANCES.

MIAMI AT MIAMI, FLORIDA. WILL DETERMINE IF POLYGRAPH IS NECESSARY. IF SO, WILL ESTABLISH CONTACT WITH RAYMOND'S

①-NEW YORK
1-SUPERVISOR M-2
1-PROGRAM MANAGER, ADIC

GAM:meh

(3)

meh m-2
[Signature]

Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

FBI

TRANSMIT VIA:

☐ Teletype☐ Facsimile☐ _____

PRECEDENCE:

☐ Immediate☐ Priority☐ Routine

CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☐ UNCLAS E F T O☐ UNCLAS

Date _____

PAGE TWO U N C L A S

ATTORNEY VIA UNITED STATES ATTORNEY'S OFFICE, SDNY. (AUSA

BT

b6
b7C

#

Approved: _____ Transmitted _____ (Number) (Time) Per _____

☆ U.S. GOVERNMENT PRINTING OFFICE: 1980-305-750/5402

File - Serial Charge Out
FD-5 (Rev. 6-17-70)

File 29-15128 Date 8/22/80
Class. Case No. Last Serial

☐ Pending

☐ Closed

Date
Charged

Serial No.

Description of Serial

b6
b7C

711 N.Y. Memo dtd 8/21/80
from [redacted]

712 N.Y. Memo dtd 8/21/80
from [redacted]

[redacted]

M-2

Employee

RECHARGE

Date _____

To _____ From _____

Initials of
Clerk

Date

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 7/9/80

TO : SAC 929-15128)

FROM : SA [REDACTED] (M-2)

b6
b7C

SUBJECT: [REDACTED]
ET AL
BF & E; ITSP
(OO:NY)

On 7/9/80, SA [REDACTED] FBI Legal Unit, advised SA [REDACTED] that he saw no problem with formal communication between SA [REDACTED] and [REDACTED] regarding the disposition of [REDACTED] vehicle, thus eliminating the necessity of communication with [REDACTED] through the Legal Unit.

GAM:mlg
(1)

29-15128-713

SEARCHED INDEXED SERIALIZED FILED

FBI - NEW YORK

GAM

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 7/9/80

TO : SAC (29-15128)

FROM : SA [REDACTED] (M-2)

SUBJECT: [REDACTED]
ET AL
BF & E; ITSP
(OO:NY)

b6
b7C

On 7/9/80, a telephonic discussion occurred between SA
[REDACTED] and [REDACTED]
[REDACTED]

In this discussion, SA [REDACTED] advised [REDACTED] that the FBI wished to dispose of an automobile believed to be the property of subject [REDACTED] confiscated at time of [REDACTED] arrest. [REDACTED] was further advised that unless FBI New York was furnished with instructions by either [REDACTED] or his lawyers to the contrary, the FBI would dispose of the vehicle as the FBI saw fit.

[REDACTED] advised that his firm would get in touch with [REDACTED] and immediately advise FBI New York as to the results of their discussions with [REDACTED]

GAM:mlg
(1)

29-15128-714

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 11 1980	
FBI - NEW YORK	

[Signature]

JH NY 29-15128

On [redacted] provided the following information to SA [redacted]

Source advised that [redacted] also known as [redacted] was [redacted]

[redacted] Source added that [redacted]

[redacted] was living in [redacted] area [redacted]

[redacted] was living with [redacted]

[redacted] Source stated that [redacted]

[redacted]

b6
b7C
b7D
b7E

29-15128-715

29-15128-716

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 1963	
FBI - NEW YORK	

[Signature]

**FEDERAL BUREAU OF INVESTIGATION**

Washington, D. C. 20537

REPORT

of the

**LATENT FINGERPRINT SECTION
IDENTIFICATION DIVISION**

YOUR FILE NO. 29-15128 (P) (M-2)
FBI FILE NO. 29-85152
LATENT CASE NO. B-66372

August 19, 1980

TO: ADIC, New York

b6
b7c

RE:

ET AL.;
BF&E; ITSP

REFERENCE: Airtel 6-4-80
EXAMINATION REQUESTED BY: New York
SPECIMENS:

One hundred forty-three bonds
Three transmittal letters

The bonds were photographed prior to processing and color photographs are being returned under separate cover with the specimens.

Seventeen latent fingerprints, one of which is from the tip area of a finger, and two latent palm prints of value were developed on bonds designated B through K, M and N. No latent prints of value were developed on the remaining specimens.

Two latent prints developed on a State of Oregon Veterans Welfare Bond #750234, designated I in LFPS, have been identified as impressions of the right and left thumb of [REDACTED] FBI [REDACTED]

Fourteen additional latent fingerprints are not the fingerprints of the following individuals, previously named in this case:

(Continued on next page)

ADIC, New York

August 19, 1980

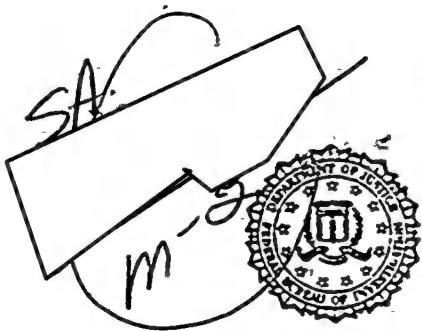
b6
b7C

	FBI	
	FBI	
	FBI	
	FBI	
	FBI	
	FBI	
	FBI	

The remaining latent fingerprint was compared with the comparable areas of the aforementioned fingerprints, but no identification was effected. Fully and clearly recorded tip areas of the fingers are needed for a complete comparison.

The latent palm prints are not the palm prints of [redacted]
[redacted] or [redacted] There are no palm
prints here for [redacted] or [redacted]

A portion of the identification record for [redacted] and the complete identification record for [redacted] FBI [redacted] are temporarily out of file. When these records become available, appropriate comparisons will be conducted and you will be advised of the results.



29-05128

8

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

26 Federal Plaza

New York, New York 10007

In Reply, Please Refer to
File No.

7/18/80

Security Supervisor
New York Telephone Company
1095 Avenue of the Americas
New York, New York 10036

b6
b7C

Dear Sir:

In connection with an official investigation
being conducted by this office, it is requested that you
furnish listings for the following telephone numbers:

[Redacted box]

[Redacted box]

unassigned

Your personal attention in protecting the confidential
nature of our inquiry to this matter is greatly appreciated, and
I wish to express my thanks for your cooperation. - 29-15128-

Very truly yours,

Neil J. Welch
NEIL J. WELCH
Assistant Director in Charge

716A

TRANSMIT VIA: Airtel

CLASSIFICATION: Unclas

DATE: August 19, 1980

FROM: Director, FBI

b6
b7C

TO: ADIC, New York (29-15128)

[REDACTED] AND OTHERS, BANK FRAUD AND EMBEZZLEMENT;
INTERSTATE TRANSPORTATION OF STOLEN PROPERTY (A)

ReNYtel to the Director, 7/30/80, and Butelcal to
New York, 8/15/80.

Approval was obtained on 8/14/80 from [REDACTED]
[REDACTED] for the use of
a body recorder and/or transmitter [REDACTED]
[REDACTED] to record
conversations with [REDACTED]
[REDACTED]

b6
b7C
b7D

Insure Bureau equipment is afforded appropriate security
and keep Bureau advised of pertinent developments.

You are requested to submit a letter within 30 days of
the expiration of the authorization authorizing use of the body
recorder and/or transmitter in this matter showing whether the
body recorder:

[REDACTED]

Comment specifically concerning any of the above points
that are applicable.

*1 cc
66-II-69
T put for
10/1 to review
10/14 for
results
pr*

29-15128 - 717

INDEXED	FILED
M-2	
AUG 21 1980	
FBI-NEW YORK	

[Signature]

b6
b7C

Airtel to ADIC, New York
RE: [REDACTED]

b6
b7C

[REDACTED] In the event it will be necessary to renew this request and justification should be submitted five work days prior to termination of your present authority.

b7D

In addition, you should insure that all persons identified as having been monitored, are suitably included in the field office and FBIHQ ELSUR indices, commensurate with existing instructions as outlined in Bureau airtels to all offices dated April 12, 1977, June 8, 1977, and October 21, 1977, entitled "Microphone and Telephone Surveillances." Strict administrative controls must be established to insure these requirements are met.

26 Federal Plaza
New York, New York 10278
August 27, 1980

United States Attorney
Southern District of New York
1 St. Andrew's Plaza
New York, New York 10007

b6
b7C

Attention: Assistant United States Attorney [REDACTED]

Dear Sir:

This is to confirm a conversation on July 24, 1980 between Assistant United States Attorney's [REDACTED] and [REDACTED] Southern District of New York (SDNY), and Special Agent (SA) [REDACTED] Federal Bureau of Investigation (FBI), New York, New York (NY).

b6
b7C
b7D
b7E

In said conversation, Assistant United States Attorney's [REDACTED] and [REDACTED] were advised by SA [REDACTED] that the FBI intended to utilize a consensual monitoring device on [REDACTED] in order to monitor and record private conversations between [REDACTED]

Assistant United States Attorney [REDACTED] advised SA [REDACTED] that it was his opinion utilization of consensual monitoring in this situation represented a legitimate investigative technique, and would not in anyway constitute entrapment of the subjects.

b6
b7C

Very truly yours,

LEE F. LASTER
Assistant Director in Charge

2-Addressee
①-New York (29-15128)

GAM:jlc
(3)

29-15128-118
[Handwritten signature and initials]

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

26 Federal Plaza
New York, New York 10278

August 28, 1980

b6
b7C

[redacted]
Attention: [redacted]

Dear Sir:

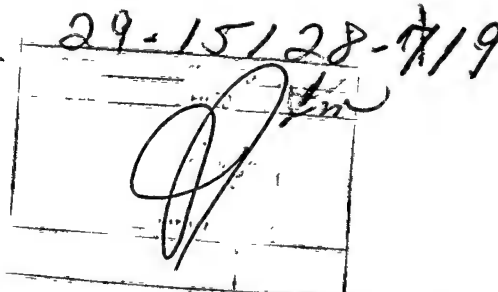
This letter is in reference to a conversation in July, 1980, between [redacted] and Special Agent (SA) [redacted] Federal Bureau of Investigation (FBI), New York.

In said conversation [redacted] was advised by SA [redacted] that the FBI was still in possession of an automobile believed to be the property of [redacted] confiscated from [redacted] by the FBI at the time of [redacted] arrest in [redacted]. Specifically, the automobile is described as a 1971 tan Pontiac Bonneville. [redacted] was further advised by SA [redacted] that the FBI wished to extend to [redacted] the opportunity to reclaim his automobile and that instructions as to its disposition should be furnished by [redacted] or his attorneys as soon as possible.

[redacted] advised SA [redacted] that the matter would be handled by [redacted] who would be in direct contact with [redacted].

To date this office has not received any word from you regarding the disposition of this automobile.

2 - Addressee
1 - New York (29-15128)
GAM:mlg
(3)



Please be advised that unless notice to the contrary is received by this office within the next three weeks, the FBI will have no alternative but to take the matter into its own hands thus disposing of the automobile as this office sees fit.

Your continued cooperation in this matter is appreciated.

Very truly yours,

LEE F. LASTER
Assistant Director in Charge

gjh NY 29-15128

On [redacted] provided the
following information to SA [redacted]

b6
b7C
b7D
b7E

Source advised that he was unaware of any
[redacted] being in [redacted]

29-15128-720

9/12

[Redacted]

September 8, 1980

United States Department of Justice
Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10278

*Call
ack*

b6
b7C

Re: United States v. [Redacted]

Dear Sirs:

I am in receipt of your letter dated August 28, 1980.

I am forwarding a copy of your letter to my client for his instructions on how to proceed.

Sincerely yours,

[Redacted Signature]

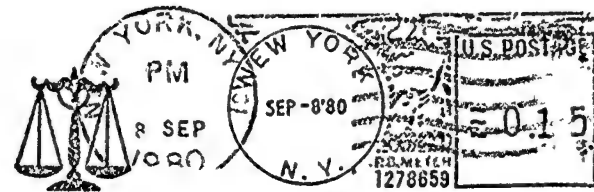
cc:

[Redacted]

29-15128-721

SEARCHED <i>BP</i>	INDEXED <i>BP</i>
SERIALIZED <i>BP</i>	FILED <i>BP</i>
SEP 12 1980	
FBI-NEW YORK	

[Signature]



b6
b7C

United States Department of Justice
Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10278



FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 9/12/80

TO: SAC, ALEXANDRIA
 FROM: SAC, CHARLOTTE (87-18993) (P)

SUBJECT: CHANGED (IO):
 UNSUB;
 THEFT OF 200 MASSACHUSETTS STATE
 HOUSING FINANCE MUNICIPAL BONDS,
 CUSIP NUMBER 575852FM1,
 REPORTED STOLEN BY SECURITIES
 SETTLEMENT CORPORATION,
 NEW YORK, N.Y.
 ITSP (D)
 (OO: NEW YORK)

aka

b6
 b7C

ITSP (D)
 (OO: CHARLOTTE)

Title marked changed interoffice to reflect total number of bonds stolen in value in captioned New York case. Certificates number 2385 and 3652 deleted from previous caption.

Enclosed for each receiving office is one six page FD-302 with [redacted] on 6/25/80.

- 2 - Alexandria (Encs. 5)
 2 - Miami (87-40383) (Enc. 1)
 4 - New York (2 - 87-81872) (MI) (Enc. 1)
 (2 - 29-15128)
 2 - Philadelphia (87-28269) (Enc. 1)
 2 - Charlotte

BJB:lct
 (12)

ANSWERED BY AIRTEL LN
 87-81872-2B

29-15128-722

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 22 1980	
FBI - NEW YORK	

Approved: _____

Transmitted no

(Number)

(Time)

Per _____

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

CE 87-18993

b3 FGJ
b6
b7C
b7D

Enclosed for Alexandria is original and copy of subpoena for [REDACTED] and original and copy of subpoena for [REDACTED]

On 3/20/80, [redacted] was contacted by the Charlotte Office of the FBI. [redacted] stated that [redacted]

Through a series of delaying tactics, [] has not yet []

Enclosed subpoenas, authorized by AUSA [redacted] Western District of North Carolina (WDNC), Charlotte, N.C., are [redacted]

On 9/11/80, AUSA [redacted] authorized issuance of a subpoena for appearance of [redacted] at the Federal Grand Jury (FGJ), WDNC, Charlotte, N.C., on 10/6/80. These

Approved: _____

Transmitted

Per

(Number)

(Time)

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

CE 87-18993

subpoenas [redacted]
 Subpoena also has been issued for [redacted]
 [redacted] AUSA [redacted] has requested that
 these subpoenas be served by an FBI agent.

For information of Philadelphia, [redacted] has observed
 photographs of [redacted] and an unknown subject known as [redacted]
 [redacted] stated that he does not know either of these individuals.

LEADS:ALEXANDRIA

AT [redacted]

At earliest possible date, serve enclosed subpoena
 on [redacted] Note that [redacted]
 [redacted] as satisfaction of subpoena.

AT [redacted]

At earliest possible date, serve subpoena at [redacted]
 [redacted] Note AUSA [redacted] has authorized the
 bank to satisfy the requirements of the subpoena [redacted]
 [redacted]

MIAMIAT MIAMI, FLA.

Advise Charlotte of the disposition of the case
 concerning [redacted]

3

b3 FGJ
 b6
 b7C
 b7D

Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

FBI

TRANSMIT VIA:

☐ Teletype☐ Facsimile☐ _____

PRECEDENCE:

☐ Immediate☐ Priority☐ Routine

CLASSIFICATION:

☐ TOP SECRET☐ SECRET☐ CONFIDENTIAL☐ UNCLAS E F T O☐ UNCLAS

Date _____

CE 87-18993

b3 FGJ

b6

b7C

NEW YORKAT NEW YORK, N.Y.Will advise Charlotte of the disposition of the case
concerning [REDACTED]CHARLOTTEAT [REDACTED]

Will serve subpoena on [REDACTED]

4*

Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

UNITED STATES GOVERNMENT

Memorandum

CONTROL # NYCM 561

TO : SAC I (ATTN: SUPV., #14)

DATE: 10/3/80

FROM :

SA

SECTION M-2

SUBJECT:

CONSENSUAL MONITORING

b6
b7C

ReBuairtel to all offices, 6/8/77, captioned
"MICROPHONE AND TELEPHONE SURVEILLANCES".

CASE CAPTIONED

et al

NYFILE 29-15128 BUFILE 29-85152 Authority/Date 8/14/80

DESCRIPTION: Stolen Securities MATTER

ELSUR CARDS SUBMITTED

(Date)

(Initials)

ALL OVERHEARS RELATING TO THIS AUTHORITY AND CONSENSUAL
MONITORING MUST BE INDEXED FOR NEW YORK "ELSUR" INDICES.

- 1- New York (66-7968) (Section 14) (Blue)
- ① Case File (Pink)
- 1- J U N E UNIT (Yellow)
- 1- Supervisor or Substantive Desk (Green)
- 1- SAC _____ (White)

JKK:MAR

29-15128-1
Km Km 723



FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 10/6/80

FM NEW YORK (29-15128) (P) (M-2)

~~TO DIRECTOR (29-85152) ROUTINE~~

BT

U N C L A S

[REDACTED] ET AL; BF&E, ITSP (A), OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED JULY 30, 1980.

FOR THE INFORMATION OF THE BUREAU, ON SEPTEMBER 29, 1980,
 AUSA [REDACTED] SDNY, ADVISED SPECIAL AGENT [REDACTED] THAT
 UNITED STATES PROBATION IN THE SDNY HAD OPPOSED OF UTILIZATION
 OF [REDACTED] IN THE NEW YORK OPERATION

TARGETING [REDACTED]

AUSA [REDACTED]

HAS FURTHER ADVISED THAT [REDACTED]

1-NEW YORK
 1-SUPV. M-2
 1-PROGRAM MANAGER, ADIC

GM:mc.
 (3)

Approved: [Signature]

Transmitted [Signature]

(Number)

(Time)

☆ U.S. GOVERNMENT PRINTING OFFICE 3305-750/5402

b6
 b7C
 b7D

b6
 b7C

29-15128-724

T. - K.

HQ - 00225

[Signature]

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

PAGE TWO U N C L A S

ARE CLEARLY SET FORTH.

AS STATED IN REFERENCED NEW YORK TELETYPE TO THE BUREAU,

[REDACTED]

[REDACTED]

[REDACTED]

AS THE BUREAU IS WELL AWARE,

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

AUSA, SDNY, CONTINUES TO CONCUR THE MERITS OF USE OF

[REDACTED] IN THIS INVESTIGATION.

NEW YORK WILL FURNISH TO THE USDC THE LETTER REQUESTED BY

AUSA [REDACTED]

BT

#

b6
b7C
b7D
b7E

Approved: _____ Transmitted _____ (Number) (Time) Per _____

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 10/1/80

TO: ADIC, NEW YORK (29-15128) (M-2)

FROM: SAC, TAMPA (196-A-43) (P)

ET AL
B F AND E;
ITSP
(OO: NEW YORK)

MICHAEL RAYMOND, aka;
ET AL
ITSP; FBW
(OO: TAMPA)

Re NY airtel to TP, 6/18/80.

AUSA [redacted] MDF, Orlando, Florida, desires
an updated report of [redacted] and Raymond's prosecutive status to
include:

b6
b7C

1. Current location of each subject, whether incarcerated or out on bond [redacted]
2. Has each subject jointly or individually pled guilty to charges, and what charges have been placed against them [redacted]
3. Has each individual been sentenced, if so, to what charges and if not sentenced, when will they be sentenced [redacted]
4. If individuals have been sentenced, what charges were they sentenced for and what are the sentences of each individual [redacted]

2-New York
2-Tampa
RLW:pjl
(4)

Approved: _____

Transmitted _____ (Number) _____ (Time)

SERIALIZED

FILED

OCT 06 1980

TP 196-43

AUSA [redacted] supra, is following the New York prosecutive status of both captioned subjects in order to determine the desirability of prosecutive potential in the Tampa Division.

b6
b7C

Memorandum



To : ADIC, NEW YORK (29-15128)

Date OCT 8 1980

From : *SA* SA [redacted] (M-2)

Subject :

ET AL
BF & E;
ITSP (A)
(OO: NY)

b6
b7C

On 9/26/80, SA [redacted] was advised by [redacted] that [redacted] did not claim ownership of the 1971 tan Pontiac [redacted] currently in the possession of the FBI, impounded at time of arrest of [redacted]. [redacted] advised SA [redacted] that the FBI could do with the automobile as it saw fit.

GAM:raf
(1)

Ref

29-15128-726

SEARCHED	INDEXED
SERIALIZED	FILED
OCT - 1 1980	
FBI - NEW YORK	

Glaser

FBI/DOJ

FBI

TRANSMIT VIA:

☒ Teletype

☐ Facsimile

☐ _____

PRECEDENCE:

☐ Immediate

☐ Priority

☒ Routine

CLASSIFICATION:

☐ TOP SECRET

☐ SECRET

☐ CONFIDENTIAL

☐ UNCLAS E F T O

☒ UNCLAS

Date _____

33

FM NEW YORK (29-15128) (M-2)

~~TO DIRECTOR (29-85152) ROUTINE~~

b6
b7C

BT

U N C L A S

[REDACTED] ET AL; BF&E; ITSP (A); OO: NEW YORK.

RE. BUREAU AIRTEL TO NEW YORK, DATED AUGUST 19, 1980.

NEW YORK REQUESTS RENEWAL OF AUTHORITY [REDACTED]

[REDACTED] TO MONITOR AND/OR RECORD PRIVATE CONVERSATIONS

BETWEEN [REDACTED]

b6
b7C
b7D

FOR INFORMATION OF THE BUREAU, PREVIOUS AUTHORITY GRANTED IN REFERENCED BUREAU AIRTEL TO NEW YORK WAS NOT UTILIZED AS NO CONTACTS OR MEETINGS COULD BE ARRANGED. SOURCE IS STILL IN FAVORABLE POSITION TO COOPERATE WITH BUREAU. COORDINATING AND ARRANGING OF MEETINGS BETWEEN [REDACTED]

[REDACTED] STILL IN ACTIVE STATUS. NYO EXPECTS POSITIVE RESULTS

WITHIN SHORT TERM.

AUSA [REDACTED] SDNY, CONTINUES TO CONCUR WITH THIS OPERATION

b6
b7C

- ①-NEW YORK
- 1-SUPERVISOR M-2
- 1-PROGRAM MANAGER, ADIC

GAM:meh

(3)

Approved: *LL/MPM*


Transmitted

(Number) (Time)

☆ U.S. GOVERNMENT PRINTING OFFICE

29-15128-728

119-02398

As NY has been
precluded from ~~cont~~ utilization
of  by a regulation
of U.S. Probation. It should
be noted USD Ed Weinfeld has
refused to order probation
to coop. w/ NYo.

b6
b7C
b7D

FBI

TRANSMIT VIA:

- ☐ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

- ☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

- ☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date _____

PAGE TWO U N C L A S

AND ADVISES NO PROBLEMS WITH ENTRAPMENT.

APPROPRIATE WAIVER FORMS WILL BE EXECUTED BY

BT

b6
b7C
b7D

#

Approved: _____ Transmitted _____ Per _____

(Number) (Time)

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 10/10/80

FM NEW YORK (29-15128) (P) (M-2)

TO ~~DIRECTOR~~ (29-85152) ROUTINE

BT

U N C L A S

b6
b7C ET AL, BF&E; ITSP (A), OO: NEW YORK.

RENYTEL TO THE BUREAU, DATED OCTOBER 6, 1980.

IN REFERENCED NEW YORK TELETYPE, NYO ADVISED b5
b6
b7C
b7D

① NEW YORK
1-SUPERVISOR M-2
1-PROGRAM MANAGER, ADIC

GAM:jmh
(3)

jmh
(m-2)

b6
b7CApproved: *[Signature]*Transmitted *HQ*(Number) 0147 (Time)Per *KA*

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☒ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 10/15/80

#9

FM NEW YORK (29-15128) (P) (M-2)

TO DIRECTOR (29-85152) IMMEDIATE

BT

UNCLAS

[REDACTED] ET AL; BF&E (A); ITSP; OO: NEW YORK.

RENYTEL TO BUREAU, DATED OCTOBER 10, 1980.

FOR INFORMATION OF THE BUREAU, [REDACTED]

[REDACTED] IS BEST CHARACTERIZED AS A

WELL KNOWN SWINDLER, FORMERLY HEAVILY INVOLVED IN THE
 AREAS OF ILLEGAL FOREIGN CURRENCY TRANSACTIONS AND STOLEN
 SECURITIES.

THROUGHOUT [REDACTED] WAS INVOLVED
 WITH [REDACTED] IN AN
 ILLEGAL FOREIGN CURRENCY DEAL AND SEVERAL STOLEN SECURITIES
 TRANSACTIONS. AS A RESULT OF HIS INVOLVEMENT IN THE (CURRENCIES)
 SWINDLE, [REDACTED] PLED GUILTY TO ONE COUNT
 OF WIRE FRAUD AND SERVED A PRISON SENTENCE OF TWELVE MONTHS.

① NEW YORK
 1-SUPERVISOR M-2
 1-PROGRAM MANAGER, ADIC

GAM:meh
 (3)

Approved: *L/82*Transmitted *HQ*

(Number)

1920 (Time)

Per *NA*b6
b7Cb6
b7C
b7Db6
b7C

29-15128-730

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: OCT 8 1980

TO : SAC, MIAMI (37-40083)

FROM : ADIC, NEW YORK (29-15128) (P) (M-2)

SUBJECT: [REDACTED]

ET AL
BF & E; ITSP (A)
(OO:NY)

[REDACTED] aka;

ET AL
ITSP (D)
(OO:MM)

ReMMtel call to New York on 9/30/80.

For information of FBI Miami and US Attorneys Office,
SDF, [REDACTED] Grand Jury minutes will be reviewed by
AUSA [REDACTED] and SA [REDACTED] it is requested that
Miami's 5E be made to reflect the names of SA [REDACTED] and
AUSA [REDACTED]

b6
b7C

b3
b6
b7C

2 - Miami
1 - New York
GAM:mlg
(4)

[Handwritten signature]

29-15128-733
[Handwritten signature]
[Handwritten signature]

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: OCT 17 1980

TO : SAC, TAMPA (196-A-43)

FROM : ADIC, NEW YORK (29-15128) (P) (M-2)

SUBJECT: [REDACTED]

ET AL
BF & E; ITSP (A)
(OO:NY)

MICHAEL RAYMOND, aka;
ET AL
ITSP; FBW
(OO:TP)

b6
b7C

ReTPairtel to New York, dated 10/1/80.

For information of Tampa and AUSA [REDACTED] MDF, the following information is furnished in the same order as requested in referenced airtel:

1. [REDACTED]

[REDACTED]

b6
b7C
b7D

[REDACTED] currently residing at [REDACTED]
[REDACTED] telephone [REDACTED]

2. Both subjects have plead guilty to charges against them in the SDNY; charges described as follows:

MICHAEL RAYMOND: one count for Aiding and Abetting Wire Fraud. One count for possession of a fraudulent passport. One count for bail jumping. One count for ITSP. (Note: Separate case heard by separate judge).

2 - Tampa
1 - New York
SAM:mlg
(4)

[Handwritten signature] 29-15128-734
[Handwritten signature]
[Handwritten signature]

NY 29-15128

[redacted] one count for conspiracy to move stolen securities in interstate commerce.

3 and 4. Both RAYMOND and [redacted] plead guilty to the above charges. [redacted] will be sentenced on [redacted]

RAYMOND was sentenced as follows:

Four years for Aiding and Abetting Wire Fraud. Three years for Passport Violation. Three years for Bail Jumping. Three years for ITSP. (Sentenced separately by a separate judge).

[redacted]

b6
b7C

b7D
b7E

TRANSMIT VIA: AirtelCLASSIFICATION: UnclasDATE: October 21, 1980

✓ FROM: Director, FBI

TO: SAC, New York (29-15128)

[REDACTED] ET AL; BF&E; ITSP(A)

b6
b7C

ReNYtel to the Director, 10/8/80, and Butelcal to New York, 10/16/80.

Approval was obtained on 10/15/80 from [REDACTED] for the use of a body recorder and/or transmitter [REDACTED]

Insure Bureau equipment is afforded appropriate security and keep Bureau advised of pertinent developments.

b6
b7C
b7D
b7E

You are requested to submit a letter within [REDACTED] of the expiration of the authorization authorizing use of the body recorder and/or transmitter in this matter showing whether the body recorder:

[REDACTED]

Comment specifically concerning any of the above points that are applicable.

29-15128-735

M-2
OCT 28 1980
[REDACTED] [Signature]

b6
b7C

Airtel to SAC, New York

Re: [REDACTED]

b6
b7C

In the event it will be necessary to renew this
[REDACTED] request and justification should be submitted
five work days prior to termination of your present authority.

b7D

In addition, you should insure that all persons identified as having been monitored, are suitably included in the field office and FBIHQ ELSUR indices, commensurate with existing instructions as outlined in Bureau airtels to all offices dated April 12, 1977, June 8, 1977, and October 21, 1977, entitled "Microphone and Telephone Surveillances." Strict administrative controls must be established to insure these requirements are met.

29-15128-736

SEARCHED	INDEXED
SERIALIZED	FILED <i>fin</i>

11-2

OCT 28 1980

FBI-NEW YORK

[Signature]

ge

**FEDERAL BUREAU OF INVESTIGATION**

Washington, D. C. 20537

REPORT

of the

**LATENT FINGERPRINT SECTION
IDENTIFICATION DIVISION**

YOUR FILE NO. 29-15128 (P) (M-2)
FBI FILE NO. 29-85152
LATENT CASE NO. B-66372

October 24, 1980

TO: ADIC, New York

RE:

ET AL.;
BF&E; ITSP

b6
b7C

REFERENCE: Airtel 6/4/80
EXAMINATION REQUESTED BY: New York
SPECIMENS:

This report supplements our LFPS report to your division dated 8/19/80.

One previously reported unidentified latent fingerprint was compared with the comparable areas of the fingerprints of [REDACTED] FBI [REDACTED] but no identification was effected. Fully and clearly recorded inked impressions of the tip areas of the fingers are needed for a conclusive comparison. The remaining unidentified latent fingerprints are not the fingerprints of [REDACTED]

There are no palm prints here for [REDACTED] or for [REDACTED] FBI [REDACTED] for comparison with the previously reported latent palm prints.

NY 29-15128

b6
b7C
b7D
b7E

On [redacted] provided the following
information to Special Agent (SA) [redacted]

Source advised that he would continue his efforts to
contact [redacted] for the purpose of setting up
a meeting. Source stated that he would contact SA [redacted] within
a couple of days with the result of his attempts to contact
[redacted]

29-15128-737

STATISTICS LETTER

TO: DIRECTOR, FBI

NOV 3 1980

Date: ___ / ___ / ___

FROM: SAC, NEW YORK

29	85152
Bureau File Number	

29	A *	15128
F.O. File Number		

SUBJECT:

ET AL
 BP&E (A)
 ITSP
 (OO: NY)

b6
b7C

A. PRELIMINARY JUDICIAL PROCESS (No. of Subjects) 1. <u>1</u> Complaint 2. <u> </u> Information 3. <u> </u> True Bill 4. <u> </u> No Bill	D. ARRESTS / LOCATES / SUMMONS (No. of Subjects) <u>FUGITIVE PRIORITY</u> <table style="width: 100%;"> <tr> <td style="text-align: center;"><u>A</u></td> <td style="text-align: center;"><u>B</u></td> <td style="text-align: center;"><u>C</u></td> <td></td> </tr> <tr> <td>1. <u> </u></td> <td>2. <u> </u></td> <td>3. <u> </u></td> <td>- FBI Arrests</td> </tr> <tr> <td>4. <u> </u></td> <td>5. <u> </u></td> <td>6. <u> </u></td> <td>- FBI Locates</td> </tr> <tr> <td colspan="3">7. <u> </u> Criminal Summons</td> <td></td> </tr> </table>	<u>A</u>	<u>B</u>	<u>C</u>		1. <u> </u>	2. <u> </u>	3. <u> </u>	- FBI Arrests	4. <u> </u>	5. <u> </u>	6. <u> </u>	- FBI Locates	7. <u> </u> Criminal Summons			
<u>A</u>	<u>B</u>	<u>C</u>															
1. <u> </u>	2. <u> </u>	3. <u> </u>	- FBI Arrests														
4. <u> </u>	5. <u> </u>	6. <u> </u>	- FBI Locates														
7. <u> </u> Criminal Summons																	
B. FINAL JUDICIAL PROCESS (No. of Subjects) 1. <u> </u> Pretrial Diversion 2. <u> </u> Dismissal 3. <u> </u> Acquittal 4. <u> </u> Conviction-Misdemeanor 5. <u>1</u> <u> </u> No. of Counts 6. <u> </u> Conviction-Felony 7. <u> </u> <u> </u> No. of Counts	E. RECOVERY AND LOSS PREVENTED 1. Recovery \$ <u> </u> 2. Potential Economic Loss Prevented \$ <u> </u>																
C. SENTENCES (No. of Subjects) 1. <u>1</u> 1. <u>0</u> Confinement 2. <u> </u> Probation 3. <u>0</u> Suspended 4. <u>0</u> Fine \$ <u>10,000.00</u> 5. \$ <u> </u> Amount of Fine	F. CIVIL MATTERS <u>Government Defendant</u> 1. Amount of Suit \$ <u> </u> 2. Settlement or Award \$ <u> </u> <u>Government Plaintiff</u> 3. Amount of Suit \$ <u> </u> 4. Settlement or Award \$ <u> </u>																

REMARKS: sentenced by Judge LEONARD SAND, SDNY, on one count for violation of Title 18, USC, Section 371, to three (3) months custody of the Attorney General, and 33 months probation.

Subject fined in the amount of \$10,000.00.

2-Bureau
 1-New York (66-8492)
 ①-New York (29-15128)

GBM:sc
 (5) SC
 1-Supv (M-2)

* Include subclass letter if applicable.

29-15128-738

SEARCHED <u> </u>	INDEXED <u> </u>
SERIALIZED <u> </u>	FILED <u> </u>
NOV 3 1980	
FBI-NEW YORK	

INSTRUCTIONS

(1) Submit an original only of the FD-515 within 30 days of a recordable accomplishment. Submission of each statistic should not be delayed awaiting the accumulation of several statistics.

(2) The date the FD-515 is submitted must be shown.

(3) The field office file number and appropriate subclassification, if applicable, must be set forth.

(4) Category A - **"PRELIMINARY JUDICIAL PROCESS"** - This indicates the number of subjects against whom judicial action has taken place. The number of subjects must be indicated with a numerical entry and not with the letter "X" or a check mark.

FOR EXAMPLE: If 4 subjects have 10 Bills of Indictment returned against them, enter 4 on line 3.

(5) Category B - **"FINAL JUDICIAL PROCESS"** - This, again, indicates the number of subjects and the number of counts or violations for which the subject is convicted. The number of counts shown on lines 5 and 7 must equal or exceed the number of subjects indicated on lines 4 and 6, never be less. Category 4 and 5 or 6 and 7 cannot be claimed prior to sentencing.

FOR EXAMPLE: If a subject is convicted for multiple counts in a single indictment or is convicted on a number of individual indictments, the entry in either case will be one subject convicted.

(6) Category C - **"SENTENCES"** - Indicate only the number of subjects and where a combination of sentences are imposed, enter only the most severe sentence. The number of subjects cannot exceed the number of subjects indicated in Category B, lines 4 and 6. The amount of the fine (line 5), if any, is always claimed in conjunction with either lines 1, 2, 3, or 4.

(7) Category D - **"ARRESTS/LOCATES/SUMMONS"** - Indicate the number of subjects under designated priorities.

(8) Category E - **"RECOVERY AND LOSS PREVENTED"** - Recoveries - When stolen or illegally possessed items with intrinsic value are recovered as a part of an FBI investigation, the value of the item should be recorded. Restitutions will be recorded in this category when ordered as part of a sentence.

Potential Economic Loss Prevented - Record items such as counterfeit securities, money orders, Cashier Checks, Travelers Checks, and pirated copyright and patented material.

A justification airtel must be submitted with the FD-515 as an enclosure when the recovery or potential economic loss prevented exceeds \$1,000,000.

(9) Category F - **"CIVIL MATTERS"** - To record an entry in this category, it is necessary to conduct actual investigation in the matter. Both the original amount sought and the damages awarded by the court are to be recorded under amount of suit and settlement or award. Therefore, if numbers 1 or 3 are completed, it is necessary that number 2 and 4 also be completed even if by zero. The only exception would be when monies are paid by the subject in Ascertaining Financial Ability Matters wherein it is not necessary to record an entry in F3.

(10) **"REMARKS"** - Identify the name of the subject(s) and when reporting a conviction identify the Title, Section, and Code applicable to each count convicted.

(11) **Errors** - If a previously submitted FD-515 is determined to contain an error after verifying the statistic on the Monthly Statistical Results Report, submit an original only of the FD-515 clearly marking the top of the form in red ink with the word **"AMENDED."** Other than the title, FBIHQ file number (if known), field office file number, and date, only complete the category being corrected by a numerical entry representing the appropriate decrease or increase. If this entry represents a decrease, insert a minus " - " sign and if an increase, insert a plus "+ " sign directly to the left of the numerical entries which will signify the type of correction.

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
PROBATION OFFICE

MORRIS KUZNESOF
CHIEF PROBATION OFFICER

October 28, 1980


207 U. S. COURTHOUSE
FOLEY SQUARE
NEW YORK, N. Y. 10007
212-791-0218
64795-MJH/MJL

Mr. Lee F. Laster
Assistant Director in Charge
Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10007

b6
b7C
b7D
b7E

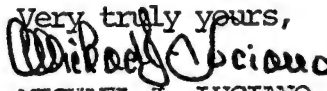
RE: 

Dear Mr. Laster:

Your request  has been given serious consideration by the Honorable Edward Weinfeld. It is the Court's decision, which we might add is fully shared by the Probation Department, that such sanctioned activity would not be in the rehabilitative interests of this probationer.

If you have any questions relative to this decision, please feel free to contact us.

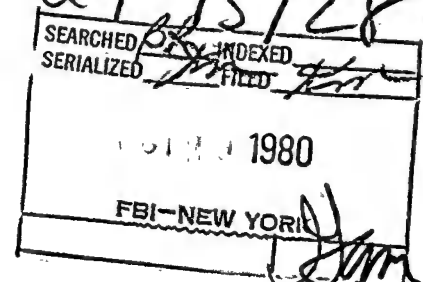
Very truly yours,



MICHAEL J. LUCIANO
Deputy Chief U.S. Probation Officer

MJL:sb

cc: Honorable Edward Weinfeld
U.S. District Judge, SDNY



UF

✓

29-15128-740			
SEARCHED	INDEXED		
SERIALIZED	FILED		
NOV 7 1980			
FBI-NEW YORK			

b6
b7C

[redacted]
[redacted]
November 13, 1980

b6
b7c

Agent [redacted]
Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10007

Re: U.S.A. v. [redacted]

Dear Agent [redacted]:

This letter will confirm our telephone conversation wherein I authorized the release of the 1971 tan Pontiac Bonneville automobile involved in this action.

[redacted] has consented to this authorization.

Very truly yours,
[redacted]

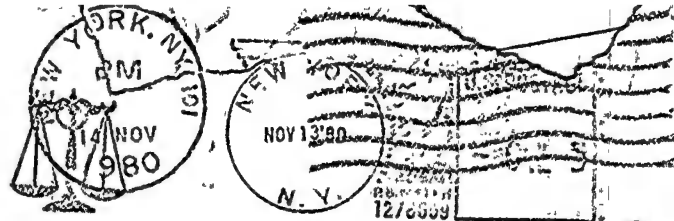
cc: [redacted]


c/o [redacted]
[redacted]

29-15128-741

SEARCHED <input checked="" type="checkbox"/>	INDEXED <input checked="" type="checkbox"/>
SERIALIZED <input checked="" type="checkbox"/>	FILED <input checked="" type="checkbox"/>
NOV 19 1980	
FBI-NEW YORK	

[signature]



Agent 
Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10007

b6
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FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ _____

PRECEDENCE:

☐ Immediate
☐ Priority
☒ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 12/8/80

*Correction
done on
card me*

046

FM NEW YORK (29-15128) (M-2)

~~TO DIRECTOR (29-85152)~~ ROUTINE

BT

U N C L A S

[REDACTED] ET AL; BF&E; ITSP (A), OO: NEW YORK.

RENYAIRTEL TO THE BUREAU, DATED OCTOBER 21, 1980.

FOR INFORMATION OF THE BUREAU, PREVIOUS AUTHORITY GRANTED IN
 REFERENCED BUREAU AIRTEL TO NEW YORK WAS NOT UTILIZED INASMUCH AS
 NEW YORK [REDACTED] BY REGULATIONS
 OF U.S. PROBATION. IT SHOULD BE NOTED THAT THE HONORABLE EDWARD
 WEINFELD, USDJ, SDNY, HAS, UPON APPEAL BY THE USA'S OFFICE, SDNY,
 REFUSED TO [REDACTED]

[REDACTED] CURRENTLY HELD IN INACTIVE STATUS.

BT

#

1-NEW YORK
 1-SUPV. M-2
 1-PROGRAM MANAGER, ADIC

AM:mc

(3)

Approved: [Signature]

Transmitted [Signature]

(Number)

U. S. GOVERNMENT

29-15128-742

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b7C

5075402

FBI

TRANSMIT VIA:

☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:

☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 12/18/80

TO: DIRECTOR, FBI (87-150742)
 (ATTN: ID/LFS, LATENT FILE NUMBERS B-82100, B-84419
 AND B-70016)

FROM: ADIC, NEW YORK (87-82584) (P) (M-2)

SUBJECT: aka;

ET AL
 ITSP (D);
 BL (B)
 (OO: NY)
 (Bufile 87-150742)
 (NYfile 87-82584)

ET AL
 ITSP (D)
 (OO: NY)
 (Bufile 87-150655)
 (NYfile 87-82195)

Rereps of ID/LFS in captioned cases, dated 6/20/80
 and 6/12/80, respectively.

For information of the Bureau and ID/LFS, information
 recently developed in both captioned cases and other related
 New York stolen securities investigations indicates that
 aka FBI Number
 is a substantial figure in moving stolen securities.

5-Bureau
 (1-ID/LFS)
 (2-87-150655) 29-15128
 1-New York
 1-New York

GAM:cas
 (8)

29-15128

743

Approved: _____

Transmitted _____

(Number)

(Time)

Per _____

☆ U.S. GOVERNMENT

540

NY 87-82584

REQUEST OF THE BUREAU

ID/LFS

ID/LFS is requested to compare prints of newly developed subject, [REDACTED] FBI Nubmer [REDACTED] with latents developed on all bonds submitted under Latent Files B-70016, B-82100 and B-84419.

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Airtel

1/15/81

TO: DIRECTOR, FBI
(ATTN: ELSUR INDEX UNIT)

FROM: ADIC, NEW YORK (92-4564) (19)

SUBJECT: ELSUR;
[REDACTED]
ET AL

ReDutcl to New York, dated 1/9/81.

Enclosed for ~~the Bureau and~~ El Paso are four
copies of transcripts reflecting consensually recorded
conversations of [REDACTED]

New York Elsur Indices searched by [REDACTED]
for references to subject's name and DOB as requested in
referenced teletype.

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General Indices searched by [REDACTED]

The only identifiable references revealed by
above searches concerned the enclosed four consensual
monitorings.

3-Bureau (~~Encls. 4~~)
(1-Elsur Index Unit)
2-El Paso (Encls. 4)
①-New York (29-15128)
1-New York

GAN:jmh
(8)
1-Supervisor #19

jmh
(#19)

29-15128-744
[REDACTED]
JAN 1 1981
[REDACTED]
[Signature]

NY 92-4564

1. Name of Individual Granting Consent

Consent to monitor and/or record [REDACTED]
[REDACTED]

2. Nature of Technique Used and Location

Conversations in this matter were obtained by use of a Nagra recording device. Telephonic conversations were from [REDACTED]

Nagra conversation occurred at [REDACTED]
[REDACTED]

3. Authorizing Official; Date of Authority

a. Body Recorder -

Departmental authority granted on 7/31/79, by Bureau airtel to New York, also dated 7/31/79. Authority granted by [REDACTED]
[REDACTED]

b. Consensual Telephone Monitoring - On [REDACTED]
by SAC JOHN J. CREAMER

4. Date Overheard (First and Last)

First Overhear: [REDACTED]
Last Overhear: [REDACTED]

INFORMATION

[REDACTED]

The investigation involves the theft, transportation and negotiation of several million dollars in stolen securities. These securities were reported missing from various banks and brokerage houses in the Wall Street area of New York throughout 1977, 1978, and 1979.

NY 92-4564

New York is currently carrying this investigation under the following title:

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b7C

[REDACTED]
ET AL.
BF&E (A);
ITSP (D)
(CO: NY)

The Bureau is advised that upon receipt of Bureau request in referenced airtel, facts were discussed with AUSA [REDACTED] SDNY (AUSA [REDACTED] is AUSA in charge of captioned investigation). AUSA [REDACTED] has requested that before this matter is brought before the court in the Western District of Texas, the AUSA in charge of the Texas case should contact him at [REDACTED]

Information in enclosed transcripts relates to a highly sensitive ongoing New York investigation. Therefore, El Paso should ensure that the enclosed information not be publicly disclosed unless determined to be absolutely necessary, and only following consultation with AUSA [REDACTED] [REDACTED] SDNY. Furthermore, if it should be determined by El Paso that disclosure is necessary, disclosure should not be made without final concurrence by both FBI, New York, and FBIHQ.

P

M-2

FEB 12 1981

Dear :

Reference is made to your Freedom of Information-Privacy Acts (FOIPA) request dated November 17, 1980, concerning

Please be advised that a search of our central records system surfaced one file which pertains to . However, access to this file must be denied at this time, as the material contained therein is exempt from disclosure pursuant to Title 5, United States Code, Section 552:

- (b)(7) investigatory records compiled for law enforcement purposes, the disclosure of which would:
 - (A) interfere with law enforcement proceedings, including pending investigations.

Unless noted and explained, a search of references to the subject of your request in the files of other individuals or organizations, i.e., "see" references, was not undertaken. To verify most "see" references requires information identifying the individual(s) and/or organization(s) with whom the subject of your request associated and the time frame of the association(s). Should you desire a search of "see" references, please advise of the details describing the specific incident or occurrence and time frame. Thereafter, further efforts will be made to locate, retrieve and process any such record.

1 - SAC, Newark
① - SAC, New York

SEE SAC NOTE NEXT PAGE.

29-15128

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 10 1981	
FBI - NEW YORK	

1-190-1 Sub B
① 29-15128

[redacted]

The search for information in response to your request was limited to those records in our central records system which are maintained at FBI Headquarters, Washington, D. C. During any significant FBI criminal or intelligence investigation, all substantive information developed by one or more field offices is reported promptly to our Headquarters where it is compiled in a single investigative file. It is from such a file or files that the enclosed records were copied. If you believe additional files of a minor nature exist which may be responsive to your inquiry and which were never reported to Headquarters, you may write directly to any field office for those materials.

If you so desire, you may appeal to the Associate Attorney General from any denial contained herein. Appeals should be directed in writing to the Associate Attorney General (Attention: Office of Privacy and Information Appeals), United States Department of Justice, Washington, D. C. 20530, within thirty days from receipt of this letter. The envelope and the letter should be clearly marked "Freedom of Information Appeal" or "Information Appeal." Please cite the FOIPA number assigned to your request so that it may be easily identified.

Sincerely yours,

Thomas H. Bresson, Chief
Freedom of Information-Privacy
Acts Branch
Records Management Division

Note for SACs Newark and New York (FOIA Info.): [redacted]
is a subject of Bufile 29-85152, NK file 87-29941 and NY file
29-15128 entitled [redacted] et al; BF&E; ITSP (A).
File is in pending status and (b) (7) (A) should be used for entire
case as more subjects are being developed per telephone conversation
with SA [redacted] (Division Six case agent) on 1/12/81.

Transmit attached by Facsimile - UNCLAS

Precedence URGENT

To: FBI NEW YORK

Date: 3-13-81

From: IDENTIFICATION DIVISION

Time: Transmitted -

Subject: [REDACTED]

Initials -

b6
b7C

FBI NO [REDACTED]

☐ Fingerprint Photo ☒ Fingerprint Record ☐ Map ☐ Newspaper clipping ☐ Photograph
☐ Artists Conception ☐ Other _____

Special handling instructions: ATT: [REDACTED] M-2

RECORD IS BEING SENT AS IDENT WITH YOUR REQUEST.

Approved: NS/MH

FBI/DOJ

22W
3/13/81

M2

29-15128-746

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 13 1981	
FBI - NEW YORK	

[REDACTED]

Memorandum



To : SAC (29-15128)

Date 4/6/81

From : SUPV. [REDACTED] (M-2)

Subject :

[REDACTED]
ET AL
BF&E; ITSP
(OO:NY)

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This investigation was initiated on 9/8/78 on receipt of a letter from the New Haven Division reflecting that the Chase Manhattan Bank of NYC suffered a loss of \$69,010 as a result of the negotiation of certain stolen securities in Connecticut. This investigation is categorized as a GCI and is in conformance with AGI Guidelines.

JFP:geg
(2)

gg M-2

29-15128-747

SEARCHED	INDEXED
SERIALIZED	FILED
APR 6 1981	
FBI - NEW YORK	

[Signature]

29-15128-748

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
MAY 06 1981	
FBI-NEW YORK	

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b7c



FEDERAL BUREAU OF INVESTIGATION

Washington, D. C. 20537

REPORT

of the

LATENT FINGERPRINT SECTION IDENTIFICATION DIVISION

YOUR FILE NO.
FBI FILE NO.
LATENT CASE NO.

15128
29-14510 (C) (M-2)
87-153660
B-97593

May 4, 1981

TO: ADIC, New York

b6
b7C

RE: UNSUBS.,
[redacted] AKA;
THEFT OF BRADFORD TRUST BONDS
ITSP

REFERENCE: Airtel 3-31-81
EXAMINATION REQUESTED BY: New York
SPECIMENS: Major case prints of [redacted]

The previously reported unidentified latent prints in the captioned case and your case #87-D-83371, Bufile #87-153207, our latent case #B-95911, are not the finger or palm prints of [redacted]

Two latent fingerprints, which are from the extreme side and tip areas of fingers, previously reported and unidentified in the captioned case, were compared, insofar as possible, with the fingerprints of [redacted] FBI [redacted] but no identification was effected. The remaining previously reported unidentified latent fingerprints in the captioned case and your case #87-D-83371, Bufile #87-153207, our latent case #B-95911, are not the fingerprints of [redacted]

Fully and clearly recorded major case prints of [redacted] are needed for conclusive comparisons in the aforementioned cases.

Enc. (5)
2 - Miami (87A-41285) (P)

(Continued on next page)

jam
5/6/81

ADIC, New York

May 4, 1981

Based on the information furnished, no fingerprint record for [] was located in the Identification Division files.

The major case prints of [] are enclosed.

29-15128-749

SEARCHED	INDEXED
SERIALIZED <i>yt</i>	FILED <i>yt</i>
JUN 9 4 1981	
FBI - NEW YORK	

[Signature]

Donaldson, Lufkin & Jenrette

Donaldson, Lufkin & Jenrette Securities Corporation • 140 Broadway, New York, N.Y. 10005 (212) 943-0300

Joseph D. Donnelly, Esq.
Vice President &
Director of Compliance

April 10, 1981

b6
b7C

[redacted]
U.S. Attorney's Office
One St. Andrew's Plaza
New York, New York 10007

Dear [redacted]:

Reference is made to certain municipal securities belonging to this firm presently in the possession of the Federal Bureau of Investigation.

Among those securities are \$49,000 principal amount Puerto Rico Commonwealth Aqueduct & Sewer Authority 4.00% due 07/01/1992.

We have made arrangements with the Chase Manhattan Bank, N.A. who are both the Redemption Agent and purchaser of these bonds to cancel these certificates, which will enable us to receive the long delayed proceeds of sale and permit the original bonds to be retained by the U.S. Government for whatever purposes it deems necessary.

Please instruct [redacted] of the FBI Section M-2 in New York to contact the undersigned during the week of April 20th in order to arrange for the cancellation of these securities.

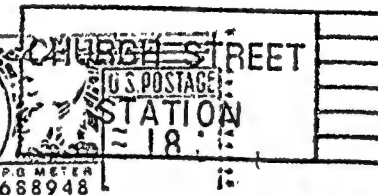
Very

ours

[redacted]
cc: [redacted]

Donaldson, Lufkin & Jenrette

140 Broadway, New York, New York 10005



[Redacted]
Federal Bureau of Investigation
26 Federal Plaza
New York, New York 10007

Section M-2

b6
b7C

Memorandum



b6
b7C

To : SAC, Div II (29A-15128) (P*) Date 4/12/82

From : SA [redacted] (M-1)

Subject :

[redacted]
et al.
BF+E; ITSP
(OO:NY)

Note
Please Indexing
within 72
hrs

Purpose of this memo is two-fold:

- ① To update captioned case file
- ② To place this matter in P* status

This case concerns a number of subjects whose identities were initially determined through investigation but against whom there was insufficient evidence to initiate prosecution at the time. As a result, other cases were developed against these subjects and in depth bksgd info concerning involvement of these subjects in this and other investigations exists in this and other NY case files; Specifically, NY 87D-81597, NY 87D-82402, and NY 87D-82911. A complete overview of the activities of these subjects can be gleaned from a review of these NY case files "as a whole."

MAKE P*
TIC 9/1/82
4/13/82

29-15128-150

SEARCHED	INDEXED
SERIALIZED	FILED
APR 12 1982	
FBI-NEW YORK	

To date, investigation in captioned matter has determined the following:

In early 1977, [redacted]

[redacted] subject of instant case as well as NY 87D-82711, was negotiating for the purchase of property in [redacted]

In seeking ~~for~~ financing for this property [redacted] was introduced to [redacted]

At that time [redacted] offered [redacted] \$1.5 million worth of stolen securities.

Shortly after meeting [redacted] deal fell through. However [redacted] undertook to find an outlet to dispose of [redacted] securities.

During 1977, [redacted] was employed as [redacted]

by [redacted] knowing of [redacted] association with [redacted]

[redacted] requested that [redacted] approach [redacted] with the stolen securities deal previously offered to [redacted] by [redacted]

[redacted] was closely associated in his smuggling activities with an individual named Michael Raymond, aka Michael Burnett. Having learned from [redacted] that a quantity of stolen securities was available [redacted] offered the securities to Burnett who agreed to take them.

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[redacted]
[redacted]

In Oct., 1977 approximately \$1.5 million worth of Bearer securities were stolen from the vault of Bache + Co., NY, NY. (Suspect(s) involved will be discussed at the end of this memo.) These securities were delivered into the hands of [redacted]

[redacted] was an associate of [redacted]

Because [redacted] lacked experience in moving stolen merchandise [redacted] took the deal to

[redacted] and [redacted]

[redacted] convinced [redacted] That he could move the securities through his various connections including [redacted]

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b7C
b7D

Thereafter, arrangements were made between [redacted] and Burnett, to effect delivery of the securities to Burnett.

[redacted] received the stolen bonds from [redacted] and delivered the bonds to [redacted]

[redacted] Upon receipt of the stolen bonds [redacted] traveled to the Bahamas where he delivered the bonds to Michael Burnett. Burnett then sold the bonds through an offshore entity, Columbus Trust Co., for 100% of their face value, i.e. \$1,500,000.00

Problems developed a few weeks later when Burnett failed to keep his end of the bargain by absconding with the full amount of the stolen proceeds. [redacted] held [redacted] responsible. [redacted]

[redacted] gave up [redacted]

Immediately thereafter, [redacted] and another associate named [redacted] aka [redacted] began to pressure [redacted] for their 40%. [redacted] relieved some of the pressure by paying them \$50,000."

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b7D

[redacted] then turned [redacted] on to Burnett's trail. At various times, [redacted] traveled to Florida looking for Burnett. At one point, while at the home of [redacted] was confronted by [redacted]

[redacted] voluntarily undertook to act as a mediator between [redacted] and Burnett. On one occasion, [redacted] and Burnett met in New York at a meeting arranged by [redacted]. Burnett refused to pay [redacted] \$ owed, [redacted] refused to furnish add'l securities.

Of the \$50,000 received by [redacted] [redacted] received \$40,000. The balance went to [redacted]

It is noted that through the facts in the instant case working relationships developed between [redacted]

[redacted] and others which constituted the basis of other related NY stolen securities investigations.

Specifically, in NY 87D-81597, in Nov, 1978, [redacted] arranged for the procurement, transportation, and negotiation of approx. \$3 million in stolen securities.

In NY 87D-82402, in May, 1979 [redacted]

[redacted] arranged for the procurement, transportation, and sale of approx \$2 million in stolen securities. The "cowboys" in these cases were [redacted]

[redacted] and [redacted]

In addition to the above two NY investigations, in Oct., 1979, in seeking to prove the facts of the instant case against [redacted]

These meetings resulted in the following

b6
b7C
b7D

1) A separate spin-off case in which

[redacted]
[redacted] were arrested
while in the process of selling \$6 million
in stolen securities to [redacted]
[redacted]
[redacted]

2) Corroboration of the facts of the
instant \$1.5 million "BACHE CASE."

In pleading guilty to #1 above, [redacted]

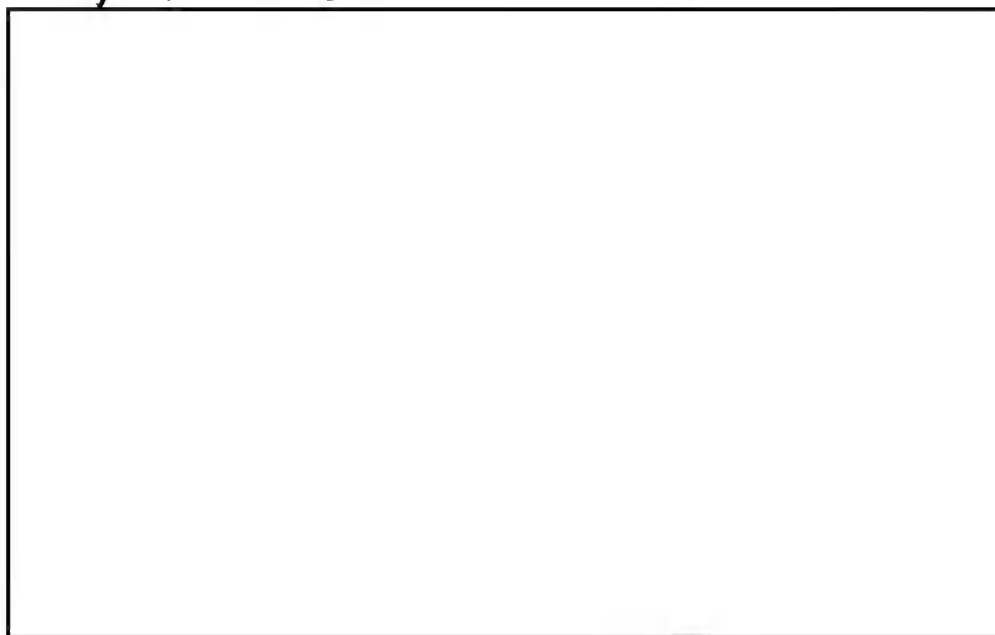
[redacted] alleged to delivery
of \$1.5 million in stolen bonds to [redacted]
[redacted]

IN MARCH, 1980, in a separate matter
carried under NY 87D-82711, [redacted]
was convicted of conspiracy to deal in
stolen securities with an FBI UCA and
others. [redacted]
[redacted]
[redacted]

[redacted] The basis of the investigation
in NY 87D-82711 was [redacted] involvement in the
instant case.

In February, 1982, the following subjects were convicted for their involvement in transporting stolen securities to Port au Prince, Haiti (NY 87D-81557) and London, England (NY 87D-82402);

b6
b7c



The above subjects are currently awaiting sentencing. It is important to note that the basis of the convictions of [redacted] in NY 87D-81557 and NY 87D-82402 is the involvement of [redacted] in the instant case.

Finally, during cross-examination of [redacted] by the defense in the Feb, 1982 trial of above subjects, it was brought out that at the time of the instant theft from Bache + Co. in Oct., 1977, [redacted]

[redacted] story re how he originally came into possession of the \$1.5 million in Bache securities ~~was~~ ^{has always} ~~been~~ ~~totalled~~ been evasive. To date, both [redacted] have refused to be polygraphed.

On 4/9/82, facts of this case were discussed at length with AUSA [redacted] SDNY. AUSA [redacted] advised he is aware of the add'l unprosecuted subjects in this case. AUSA [redacted] expressed strong desire to prosecute certain subjects in this case in the future. He was, however, unable to state when with any degree of certainty. AUSA [redacted] requested that the facts of this case be again reviewed with him in the fall of 1982.

Requested that this case be placed in P* status until fall, 1982.

Memorandum



To : SAC, Div II (29A-15128) (PX) Date 9/22/82

From : SA [redacted]

Subject : [redacted]

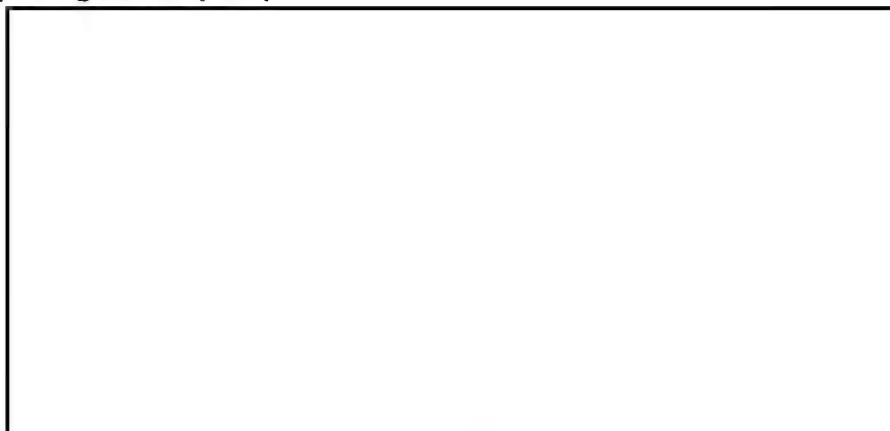
et al
BF+E (A)
OO: NY

b6
b7C

Rememo dated 4/12/82

On the instant date ANSA [redacted]
was consulted re prosecution of
following individuals:

—
—
—
—
—
—
—

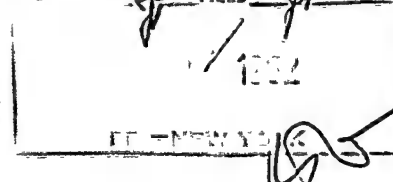


ANSA [redacted] advised he has not
yet come to a decision

Therefore it is recommended this case
remain in a PX status.

29A-15128-751

COJ [initials]
PX 12/22/82



26 Federal Plaza
New York, NY 10278

29-15128

January 6, 1983

John Martin Esq.
United States Attorney
1 St. Andrew's Plaza
New York, NY 10007

Attention: AUSA [redacted]

Re: [redacted] et al

Dear Sir:

This letter will confirm a recent conversation between AUSA [redacted]
[redacted] Southern District of New York, and SA [redacted]
Federal Bureau of Investigation, New York, New York.

During said conversation, AUSA [redacted] and SA [redacted]
reviewed the existing evidence against the captioned subjects,

and [redacted] With regards to subjects [redacted]
and [redacted] AUSA [redacted] pointed out that prosecution of these
individuals had been discussed with his superior, AUSA [redacted]
and it was their joint opinion that no prosecution should be undertaken.
With regards to subjects [redacted] and [redacted] AUSA [redacted]
stated that it was his opinion that in their present posture, these
cases lacked sufficient prosecutive merit to warrant going forward.

AUSA [redacted] further advised that should additional evidence
come to the attention of the government at some future date he would,
of course, be prepared to reconsider his current position.

SA [redacted] advised AUSA [redacted] that in view of prosecutive
declination by the SDNY, the FBI was placing this matter into a
"closed" status.

Very truly yours,

Lee F. Laster
Assistant Director in Charge

By: [redacted]

Supervisory Special Agent

2-Addressee (Attn: AUSA [redacted])
1-New York (29-15128)
GAM
(3)

29-15128 - 752

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
Receipt for Property Received/Returned/Released

On (date)

March 25, 1983

item(s) listed below were:

- ☐ Received From
☒ Returned-To
☐ Released To

(Name)

(Street Address)

(City)

Description of
Item(s):b6
b7CSTATE OF OREGONVETERAN'S WELFARE4,590 BOND DUE 10/15/87SERIAL NO. 750234COUPONS 5-20 ATTACHED

Received by

(Signature)

Received from

29-15128-753

Memorandum



To : SAC II (29A-15128) (c)

Date 3/25/83

From : SA- [redacted] (M-1)

Subject :

[redacted]

ET AL

BR&E

LTSP

DO:NY

b6
b7C

On 3/22/83

[redacted]

[redacted]

called

writer and requested the return of a bond known as State of Oregon Veterans Welfare 4.50%, Due 10/15/87, S.N. 750234, Denomination of \$5,000 w/coupons 5-20 attached. [redacted] advised they were representing Perkins & Co who was their client.

The file was reviewed. The case was closed due to all prosecution and appeal being over. Bond was returned & receipt prepared.

SA SA

29-15128-754

UNITED STATES GOVERNMENT

Memorandum

TO : New York (87-80711)
FROM : Dallas (196-310)
SUBJECT: Michael Raymond
(Title)

DATE: 7/22/88

☐ RUC☒ File Destruction ProgramEnclosed are 2 items.

These items are forwarded your office since:

☐ All logical investigation completed in this Division☒ You were OO at the time our case was RUC'd.

Enclosures are described as follows:

Original 302
FD 192 - Green Sheet
2 FD 340a
Original Lab Reports
Other

(See 1A¹⁰⁶ + 1A¹⁰⁷)Enc. 2

NOTE: DO NOT BLOCK STAMP ORIGINAL ENCLOSURES

29-1572-8-755
87-80711

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 27 1988	
FBI - NEW YORK	

Memorandum



8/22/90
P/25/90
8/22/90

To : ADIC, New York (29-15128)

Date August 16, 1990

From : SAC, Miami (29-4093)

 Subject :
Unsub; ETAL; BF&E; ITSP;
 OO: NY. (Title)
☐ RUC☒ File Destruction Programb6
b7C
 Enclosed are 1 items.
 These items are forwarded your office since:
☐ All logical investigation completed in this Division☒ You were OO at the time our case was RUC'd.

Enclosures are described as follows:

FD-340a containing documentation relating
 to captioned investigation.

(Sent to NY 10/18/90)

 No Record Last name
 No Middle name
 Numerous Middle names

 Automated
 Automated
 Manual Only

Shelton Jones 8/23/90

Enc. 1

29-15128-756

SEARCHED	SERIALIZED	FILED
AUG 23 1990		
FBI - NEW YORK		

[Handwritten signatures and initials over stamp]

NOTE: DO NOT BLOCK STAMP ORIGINAL ENCLOSURES.